## UPPSALA UNIVERSITET

Matematiska institutionen Bo Styf Prov i matematik Funktionalanalys Kurs: F3B, F4Sy, 1MA283 2002-03-01

## SOLUTIONS:

**1.** Let  $\{\lambda_n\}_1^\infty$  be a dense sequence in K. Such a sequence exists because any subset of the separable space  $\mathbb{C}$  is separable. Define  $T: l^1 \to l^1$  by  $Tx = (\lambda_1\xi_1, \lambda_2\xi_2, \lambda_3\xi_3, \ldots), x = (\xi_j)$ . As all  $\lambda_n$  are eigenvalues  $(Te_n = \lambda_n e_n), \overline{\{\lambda_n\}} = K$  and  $\sigma(T)$  is closed we draw the conclusion that  $K \subset \sigma(T)$ .

If, on the other hand,  $\lambda \notin K$  then there exists  $\delta > 0$  such that  $|\lambda - \mu| \ge \delta$  for all  $\mu \in K$ . It follows that  $||(T - \lambda I)^{-1}|| \le \frac{1}{\delta}$ , so  $\lambda \in \rho(T)$  and consequently  $\sigma(T) \subset K$ .

2. From the definition we see that

$$Y = \{e_1 - e_2, e_3, e_2 + e_3 + e_4\}^{\perp} = \{e_1 - e_2, e_3, e_2 + e_4\}^{\perp}$$

Consequently  $Y^{\perp} = \text{span}\{e_1 - e_2, e_3, e_2 + e_4\}$ . Using Gram-Schmidt we find an orthogonal basis  $f_1 = e_1 - e_2, f_2 = e_3, f_3 = e_1 + e_2 + 2e_4$  in  $Y^{\perp}$ . The orthogonal projection P onto  $Y^{\perp}$  is then given by

$$Px = \frac{\langle x, f_1 \rangle}{\langle f_1, f_1 \rangle} f_1 + \frac{\langle x, f_2 \rangle}{\langle f_2, f_2 \rangle} f_2 + \frac{\langle x, f_3 \rangle}{\langle f_3, f_3 \rangle} f_3 =$$
  
=  $\frac{1}{3} (2\xi_1 - \xi_2 + \xi_4, -\xi_1 + 2\xi_2 + \xi_4, 3\xi_3, \xi_1 + \xi_2 + 2\xi_4, 0, 0, \dots)$ 

**3.** (i) Let  $x = \alpha x_0 + y$ . Then  $f(y) = f(x) - \alpha f(x_0) = 0$  iff  $\alpha = f(x)/f(x_0)$ , so  $y \in \mathcal{N}(f)$  iff  $\alpha = f(x)/f(x_0)$ . Existence and uniqueness of the representation follows. (ii) If  $f = \lambda g$ ,  $\lambda \neq 0$  it is clear that f(x) = 0 iff g(x) = 0 so  $\mathcal{N}(f) = \mathcal{N}(g)$ . Suppose conversely that  $\mathcal{N}(f) = \mathcal{N}(g)$ . If  $\mathcal{N}(f) = X = \mathcal{N}(g)$  then  $f = 0 = g = 1 \cdot g$ . If  $\mathcal{N}(g) \neq X$  take  $x_0 \in X \setminus \mathcal{N}(g)$ . Any  $x \in X$  then has the representation  $x = (g(x)/g(x_0))x_0 + y$ , where  $y \in \mathcal{N}(g) = \mathcal{N}(f)$ .  $\Rightarrow f(x) = (g(x)/g(x_0))f(x_0) + f(y) = (f(x_0)/g(x_0))g(x)$  ie  $f = \lambda g$ , where  $\lambda = f(x_0)/g(x_0)$ .

4. If f = 0 then z = 0 works. If  $f \neq 0$  then  $\mathcal{N}(f)$  is a proper closed subspace of X, which implies that we can find  $z_0 \perp \mathcal{N}(f)$  with  $||z_0|| = 1$ . By the conclusions of the previous problem  $\mathcal{N}(f)^{\perp}$  is one-dimensional and hence spanned by  $z_0$ , which means that  $z_0^{\perp} = \mathcal{N}(f)$ . Consequently the linear form  $g(x) = \langle x, z_0 \rangle$ ,  $x \in X$ , fulfils  $\mathcal{N}(g) = \mathcal{N}(f)$ . By the previous problem there exists  $\lambda \neq 0$  such that  $f = \lambda g$  ie  $f(x) = \lambda \langle x, z_0 \rangle = \langle x, \overline{\lambda} z_0 \rangle = \langle x, z \rangle$ , where  $z = \overline{\lambda} z_0$ . For proof of uniqueness see Kreyszig.

**5.** For  $f \in (l^1)'$  given by

$$f(\xi_1, \xi_2, \ldots) = \sum_{n=1}^{\infty} (-1)^n \xi_n,$$

we have  $f(e_n) = (-1)^n$ , so  $(f(e_n))$  has no limit. Hence  $(e_n)$  has no weak limit. On the other hand, for  $x = (\xi_j) \in c_0$  we have  $e_n(x) = \xi_n \to 0 = 0(x)$ , so  $e_n$  tends to 0 in the weak\*-sense.

$$\begin{aligned} \mathbf{6.} \ (\mathbf{a}) \ (\eta_n) &= y = Tx, \, x = (\xi_j) \Leftrightarrow \eta_n = \xi_1 + \frac{1}{2}\xi_2 + \dots + \frac{1}{n}\xi_n, \, n = 1, \, 2, \, 3, \, \dots \Rightarrow \\ & |\eta_n| \leq |\xi_1| + \frac{1}{2}|\xi_2| + \dots + \frac{1}{n}|\xi_n| \\ & \leq \left(1 + \frac{1}{2^2} + \dots + \frac{1}{n^2}\right)^{\frac{1}{2}} \left(|\xi_1|^2 + |\xi_2|^2 + \dots + |\xi_n|^2\right)^{\frac{1}{2}} \\ & \leq \left(\sum_{k=1}^{\infty} \frac{1}{k^2}\right)^{\frac{1}{2}} \|x\| \\ & = \frac{\pi}{\sqrt{6}} \|x\| \end{aligned}$$

 $\Rightarrow \|Tx\| = \|y\| \leq \frac{\pi}{\sqrt{6}} \|x\|. \Rightarrow \|T\| \leq \frac{\pi}{\sqrt{6}}.$  For the converse inequality we let  $x = (\frac{1}{j}).$ Then  $\|x\| = \frac{\pi}{\sqrt{6}}$  and  $\|T\| \|x\| \geq \|Tx\| \geq \lim_{n \to \infty} \eta_n = \|x\|^2. \Rightarrow \|T\| \geq \|x\| = \frac{\pi}{\sqrt{6}}.$  Hence  $\|T\| = \frac{\pi}{\sqrt{6}}.$ 

(b)  $y = Tx \Leftrightarrow \eta_k = \xi_1 + \frac{1}{2}\xi_2 + \dots + \frac{1}{k}\xi_k = \eta_{k-1} + \frac{1}{k}\xi_k. \Leftrightarrow \xi_1 = \eta_1 \text{ and } \xi_k = k(\eta_k - \eta_{k-1})$ (k > 1). So T is invertible and  $x = T^{-1}y$  iff  $\xi_1 = \eta_1$  and  $\xi_k = k(\eta_k - \eta_{k-1})$  (k > 1).

(c) If  $y \in c$  has the form  $y = (\eta_1, \ldots, \eta_{n-1}, L, L, L, \ldots)$  (we say that y stabilizes) then for  $x = T^{-1}y$  we have  $\xi_k = k(\eta_k - \eta_{k-1}) = 0$ , k > n, ie  $x = (\xi_1, \ldots, \xi_n, 0, 0, 0, \ldots) \in l^2$ . As the subspace of all stabilizing sequences is dense in c we conclude that  $\mathcal{R}(T)$  is dense in c. It follows that if  $\mathcal{R}(T)$  is closed then  $\mathcal{R}(T) = c$ , which implies that  $T^{-1}y \in l^2$  for all  $y \in c$ , which is not true, as the following counterexample shows: Let  $\eta_k = 1 + \frac{1}{2\sqrt{2}} + \cdots + \frac{1}{k\sqrt{k}}$ . Then  $y = (\eta_k) \in c$  but for  $x = T^{-1}y$  we have  $\xi_k = \frac{1}{\sqrt{k}}$ , so  $x \notin l^2$ . This contradiction proves that  $\mathcal{R}(T)$  cannot be closed.

7. (a) Let the sequence of operators  $T_n : l^2 \longrightarrow l^2$ ,  $n = 1, 2, 3, \ldots$ , be defined by  $(T_n x)_j = (Tx)_j$ , if  $1 \le j \le n$ , and  $(T_n x)_j = 0$ , if j > n ( $x \in l^2$  is arbitrary). All the  $T_n$ 's are operators of finite range and hence compact. For an arbitrary  $x \in l^2$  we have the estimate  $||Tx - T_n x|| \le (|\alpha_{n+1}| + |\beta_n|)||x||$ , which implies that  $||T - T_n|| \to 0$  as  $n \to \infty$ . But a uniform limit of a sequence of compact operators is compact. Hence T is compact.

(b) Suppose that  $\lambda \in \mathbb{C}$  is an eigenvalue of T and that  $x \neq 0$  is an eigenvector belonging to  $\lambda$ . Then we have

$$0 = Tx - \lambda x = ((\alpha_1 - \lambda)\xi_1, (\alpha_2 - \lambda)\xi_2 + \beta_1\xi_1, \dots, (\alpha_n - \lambda)\xi_n + \beta_{n-1}\xi_{n-1}, \dots)$$

If  $\lambda$  coincides with none of the  $\alpha_n$ 's it is easy to see that x = 0 is the only solution. So it is neccessary that  $\lambda = \alpha_n$ , for some n. In that case  $\xi_n$  can be chosen arbitrary,  $0 = \xi_1 = \cdots = \xi_{n-1}$  and for  $k = 1, 2, 3, \ldots$  we have  $0 = (\alpha_{n+k} - \lambda)\xi_{n+k} + \beta_{n+k-1}\xi_{n+k-1}$ . If we choose  $\xi_n = 1$  we get

$$\xi_{n+k} = \frac{\beta_{n+k-1}}{\lambda - \alpha_{n+k}} \frac{\beta_{n+k-2}}{\lambda - \alpha_{n+k-1}} \cdots \frac{\beta_n}{\lambda - \alpha_{n+1}}$$

 $k = 1, 2, 3, \ldots$  Thus, for any  $n, \lambda = \alpha_n$  is a simple eigenvalue.