# Lecture 7: Hamiltonian graphs and colourings

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2011-10-22 lör

# Contents

Warning: These notes are not complete and many figures are missing.

### Hamilton cycles

- Definition of a Hamilton cycle Let G = (V, E), where |V| = n. A cyclic subgraph  $C \subset G$  such that  $C \cong C_n$  is called a *Hamilton cycle* in G. It is thus a cycle passing through every vertex. If G has a Hamilton cycle it is said to be Hamiltonian.
  - 1. How many Hamilton cycles does  $K_4$  have?
  - 2. Show that the dodecahedron is Hamiltonian.
  - 3. Show that the following graph is non-Hamiltonian.
  - 4. What about the Petersen graph?
  - 5. Show that a bipartite graph is Hamiltonian only if it is balanced.
  - 6. Show that  $Q_n$  has a Hamilton cycle. Use induction on n.
- Independent sets of Hamiltonian graphs Let G be a graph with independent set  $S \subset V$ . An independent set must not take up to many edges for the graph to be Hamiltonian.
  - 1. Show that  $|E| \sum_{v \in S} \deg(v) < |V| 2|S|$  implies that G is non-hamitonian.
  - 2. Show that the Herschel graph is non-hamiltonian.
- Tournaments and ranking path After an all-meets-all table-tennis tournament, show that we can rank the players so that every player (except the last one) beat the player that immediately follows in the ranking.

A tournament on n vertices is an orientation T of the complete graph  $K_n$ .

- 1. Show that there is a *directed* Hamilton path in T. (Redei's theorem)
- Diracs theorem

**Theorem 1.** Given G = (V, E), |V| = n, if the minimum degree  $\delta(G) \ge n/2$  then G is Hamiltonian.

*Proof.* Sketch: Take a edge-maximal counterexample G. Then G + uv,  $uv \in E$ , has a H-path  $P : u = x_1 x_2 x_3 \dots x_n = v$ .

We aim to prove the existence of a cycle of the following form. (Figure)

Let  $\vec{P}$  be the orientation of P from u to v. Then

$$|N_+(N(v,G),\vec{P}) \cap N(v)| > 0.$$

Here  $N_+(S, \vec{P})$  means the set S shifted one step along the path  $\vec{P}$ .

## Graph colourings

• Definition of (proper) colourings and k-colourability. How can schedule meetings for n committees into k time-slots (parallell sessions are OK) so that no delegate is double booked?

Think of each committee as the corresponding set of delegates and construct the intersection graph on n vertices. We shall label so that no adjacent vertices get the same label.

A proper colouring of a (simple) graph is a labeling of vertices where adjacent vertices never share a label. The labels are called *colours*. We say that a graph is k-colourable if it can be coloured using (at most) k colour and say then that the chromatic number  $\chi(G) \leq k$ .

If a graph is colourable for any k then it obviously can not have loops. Equally obviously, parallel edges can be reduced to one, so we may assume our graphs here to be simple.

The complete  $K_4$  is an example of a planar simple graph which is not 3-colourable.

1. Determine  $\chi(G)$  for  $G = K_n$ ,  $\overline{K_n}$ ,  $C_n$ ?.

• Equivalent formulations Let  $[k] := \{1, 2, ..., k\}$  (or any set with k elements). An (improper) k-/colouring/ is an element of  $[k]^V := \{f : V \to [k]\}$ . It is thus a *proper* k-colouring if u adjacent to v implies that  $f(u) \neq f(v)$ . Equivalently, the inverse image  $f^{-1}(\alpha)$  of each colour  $\alpha \in [k]$  is an *independent set*.

The smallest number k for which the graph G is k-colourable, is called the *chromatic number* of G, denoted by  $\chi(G)$ .

• The chromatic number The chromatic number,  $\chi(G)$ , of G is the smallest k such that G has a proper [k]-colouring.

We say that G is k-colourable or k-partite; it means that we can partition the vertex set into k independent sets. A graph is bipartite is the same stating that  $\chi(G) \leq 2$ .

- 1. Give example of a  $K_3$ -free graph such that  $\chi(G) \geq 3$ .
- The Four-Colour theorem and Heawoods Five-Colour theorem There is the celebrated **Theorem (The Four-Color Theorem)** Every simple planar graph is 4-colourable.

The only known proofs require extensive computer runs. The first such proof was obtained by Appel and Haken in 1976.

If we require only 5-colourability, then there is.

**Theorem 2** ((Heawood's Theorem or The Five-Color Theorem)). *Every* simple planar graph is 5-colourable.

We may think of G = (V, E) as a plane graph. Use induction on the number n = |V|. (Induction Basis: n = 1 is 1-colourable since there are no edges.) Assume the statement is true for  $1 \le |V| \le n - 1$  and aim to show it for |V| = n.

Recall  $\delta(G) \leq 5$  for a planar graph. By induction, the graph G - v is 5-colourable. If, in this colouring, the set of vertices adjacent to v, N(v), are coloured using less than five colours, then clearly we can 5-colour G.

So we are left with the case where the neighbours  $N(v) = \{v_1, v_2, v_3, v_4, v_5\}$  are coloured using different colours. We may assume that the indexing of the vertices proceeds clockwise, and we label the colours with the numbers 1, 2, 3, 4, 5 (in this order). We show that the colouring of G - v can be changed so that (at most) four colours suffice for colouring N(v). We denote by

$$H_{i,j} := (G - v)[f^{-1}(\{i, j\})]$$

the bichromatic subgraph of G - v induced by the vertices coloured with i and j. We have two cases:

- 1.  $v_1$  and  $v_3$  are in different components  $H_1$  and  $H_3$  of  $H_{1,3}$ . We then *interchange* the colours 1 and 3 in the vertices of, say,  $H_3$  leaving the other colours untouched. In the resulting 5-colouring of G v the vertices  $v_1$  and  $v_3$  both have the colour 1. We can then give the colour 3 to v.
- 2.  $v_1$  and  $v_3$  are connected in  $H_{1,3}$ . Then there is a  $v_1 v_3$  path in  $H_{1,3}$ . Including the vertex v we get from this path a circuit C. Now, since we indexed the vertices  $\{v_1, v_2, v_3, v_4, v_5\}$  clockwise, exactly one of the vertices  $v_2$  and  $v_4$  is inside C. We deduce that  $v_2$  and  $v_4$  are in different components of  $H_{2,4}$ , and we have a case similar to the previous one.

Note that he proof gives a simple algorithm for 5-colouring a planar graph.

• Greedy colouring Perhaps the simplest way to obtain a proper colouring is to use a greedy colouring: For a given (perhaps random) vertex-order  $V = \{v_1, v_2, \ldots, v_n\}$ , define recursively  $f: V \to \mathbb{Z}_+$  by  $f(v_1) = 1$  and

$$f(v_i) := \min f(N(v_i) \cap \{v_1, v_2, \dots, v_n\}$$

This is a proper colouring! Note that  $f(v_i) \leq d(v_i) + 1$  and thus the greedy colouring uses at least  $\Delta(G) + 1$  colours.

- 1. Show that for some ordering  $\{v_1, v_2, \ldots, v_n\}$  of the vertices the greedy colouring uses  $\chi(G)$  colours.
- Bounding the chromatic number Recall  $\omega(G)$  is the largest *clique* (complete subgraph) in G. We have the basic duality

$$\omega(G) \le \chi(G).$$

This is a type of broken LP-duality. If you define rational relaxations with fractional colourings, then the corresponding quantities are equal. (Note: Perfect graphs.)

What about upper bounds? We saw that a greedy colouring gave  $\chi(G) \leq \Delta(G) + 1$ . This is sharp for cycles and complete graphs.

**Theorem 3** (Brook's theorem). A connected graph G has chromatic number bounded by the maximum degree, *i.e.* 

$$\chi(G) \le \Delta(G) = \max_{v} \deg(v, G),$$

unless it is a complete graph or an odd cycle.

The proof is quite similar in many ways to the 5-color theorem: One works with bichromatic components in hte neighbourhood

- Enumeration of colourings: The chromatic polynomial  $P(G, \lambda)$ .
  - 1. In how many ways can we colour  $K_n$  ( $\overline{K}_n$ ) with k colours?
  - 2. In how many ways can we colour  $P_n(C_n)$  with k colours?
  - 3. If we have a cut-vertex v, such that  $G = G_1 \cup G_2$  and  $V(G_1) \cap V(G_2) = \{v\}$ , express the number of ways we can k-colour G in terms of the number of ways we can colour  $G_1$  and  $G_2$ .

**Definition 4.** The *chromatic polynomial* of a graph G,  $P(G, \lambda)$ , is defined as

 $P(G, \lambda) = \{$ The number of ways to colour G with  $\lambda$  colours. $\}$ 

• The basic recursion for  $P(G, \lambda)$ 

**Theorem 5.** If we discard loops when contracting then

$$P(G,\lambda) = P(G-e,\lambda) - P(G/e,\lambda).$$

This shows that

- 1.  $P(G, \lambda)$  is a polynomial.
- 2. The sum of coefficients are 0 unless  $P(G, \lambda) = \lambda^n$ .
- 3. Leading term is  $\lambda^n$ .

# Trees and forests

• Characterisation of trees (Figure of trees)

**Theorem 6.** The following are equivalent for a simple undirected graph T on n vertices

- 1. T is a tree;
- 2. T is connected and contains no cycles;
- 3. T is connected and has n-1 edges;
- 4. T is connected and every edge is a bridge;
- 5. any two vertices is connected by exactly one path;
- 6. T is acyclic but the addition of any new edge creates exactly one new cycle.
- 1. What are the corresponding statements for forests?
- 2. Prove, say, (iii)  $\implies$  (iv).

#### Spanning trees and spanning forests

Given a graph G, a spanning subgraph  $T \subset G$  which is a tree is called a *spanning* tree. A spanning forest is a subgraph  $F \subset G$  which is the vertex disjoin union of spanning trees in each component of G.

- 1. The graph G is connected  $\iff$  G has a spanning tree T
- 2. For a spanning tree  $T \subset G$ , every edge in T corresponds to a unique bond  $B_e$  of G. (Recall a bond is a minimal cut-set.) Every bond contain some edge of T.
- 3. Every edge e of G not in T corresponds to a unique cycle  $C_e \subset G$  and every cycle contain an edge from G E(T).
- 4. If a set  $W \subset E(G)$  is such that  $W \cap E(T) \neq \emptyset$  for every spanning tree T, then W is a (not necessarily minimal) cut-set.

5. Let  $\tau(G)$  denote the number of spanning trees in G. Show that

$$\tau(G) = \tau(G - e) + \tau(G/e)$$

The cycles  $\{C_e : e \notin T\}$  and the bonds  $\{B_e : e \in T\}$  constitute basises for the cycle space Z(G) and the cut-space  $Z^{\perp}(G)$ , respectively.

#### Leafs and Prüfer codes

A *leaf* is a vertex v in a tree T of degree one. The handshake lemma readily gives that

Every tree has at least two leaves.

Deleting a leaf v from T gives a tree T - v.

A Prüfer code is a way to code a tree T "bottom up". Assume  $V(T) = \{1, \ldots, n\}$  (or order the vertices using a labeling). Consider the following tree:

(Figure) The tree on 7 vertices with code (6, 5, 6, 5, 1).

To construct the Prüfer code we iterate the following procedure.

- Take the first leaf in order, say, *i* and write down its neighbour *j*.
- Delete the leaf i for T.

The code is thus a sequence  $\mathbf{C} = (c_1, \ldots, c_{n-2}) \in V(T)^{n-2}$  of length n-2 of vertices.

We can reconstruct the tree from its code **C** by first listing  $\mathbf{L} = (1, 2, 3, ..., n)$  all vertices in the assumed order. Then iterate the following

- Let i be the first vertex in the list **L** not in the code. Add the edge ic where c is the first label in the code.
- Delete (Cross out) the first symbol c from **c** and delete i from **L**.

As a final step, we add the edge between the two remaining vertices in **L**.

Note that we have  $n^{n-2}$  Prüfer codes for trees  $T \subset K_n$ . Since we have established a bijection between the codes and trees, we conclude **Cayleys theorem:** There are  $n^{n-2}$  (labeled) trees.