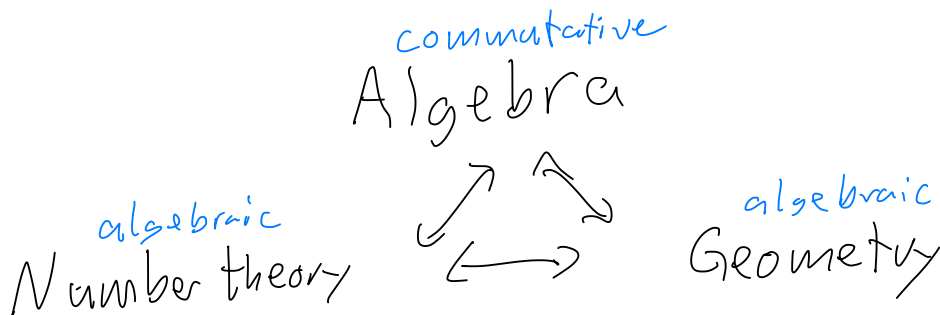


# Commutative algebra



Old and deep = e.g.  $a^2 + b^2 = c^2 \Rightarrow 2000 \text{ years}$   
 $a^n + b^n \neq c^n \quad n > 2 \quad 1637$   
1994

## ① Commutative algebras

Today  $R$ : commutative ring  
 often  $R$ :  $K$ -algebra  $K = \text{field}$  e.g.  $K = \mathbb{C}$

Ex 1)  $\mathbb{Z}, K, K[x], K[x_1, \dots, x_n], H$ : holomorphic functions  $\mathbb{C} \rightarrow \mathbb{C}$

2)  $R[x_1, \dots, x_n] \quad R/I, \prod_{i \in J} R_i$

Q How far are these from each other.

Ex  $\mathbb{C}[x]/(x^4-1) \xrightarrow{\phi} \mathbb{C}[x]/(x^2+1) \times \mathbb{C}[x]/(x^2-1)$   
 $\mathbb{X} \xrightarrow{\quad} (\mathbb{X}, \bar{\mathbb{X}}) \quad x^4-1 = (x^2+1)(x^2-1)$

$\phi$ : well-def and inj. as  $(x^4-1) \mid f(x) \Leftrightarrow (x^2+1) \mid f(x)$   
 $\wedge (x^2-1) \mid f(x)$

$\phi$ : surj. as  $\dim_{\mathbb{C}} \text{RHS} = \dim_{\mathbb{C}} \text{LHS} = 4$

Def An ideal  $I \subseteq R$  is

1) prime if  $ab \in I \Rightarrow a \in I \vee b \in I$

2) maximal if  $I \subseteq J \subseteq R \quad I = J \text{ or } J = R$

Prop let  $I \subseteq R$  ideal

a)  $I$  prime  $\Leftrightarrow R/I$  domain

b)  $I$  max  $\Leftrightarrow R/I$  field.

Def The Krull dimension of  $R$  is  
 $\dim R := \sup \{n \mid p_0 \subsetneq p_1 \subsetneq \dots \subsetneq p_n \text{ prime ideals}\}$

Ex 1)  $K$  field  $\Rightarrow \dim K = 0$   $\{0\}$

2)  $\dim K[x]/(x^2) = 0$   $\{0\}$   $(x)$   $(\bar{x})$   
*proper ideals* *not prime*

3)  $\dim K[x_1, \dots, x_n] = n$

$0 \subsetneq (x_1) \subsetneq (x_1, x_2) \subsetneq \dots \subsetneq (x_1, \dots, x_n)$  prime  
 $\Rightarrow \dim \geq n$   $\dim \leq n$  requires work.

4)  $\dim \mathbb{Z} = 1$   $(0) \in \mathcal{P}$

Def/prop Let  $R$ : domain  $a, b \in R$  then  
 $(a) = (b) \Leftrightarrow a = ub$   $u \in R^\times$

proof  $(a) = (b) \Rightarrow a = xb$   $a = xy a$   $a = 0 \Rightarrow b = 0$   
 $b = ya$   $a \neq 0 \Rightarrow xy = 1$   
 $\Rightarrow x, y \in R^\times$

" $\Leftarrow$ "  $a = ub \Rightarrow a \in (b)$   
 $\Rightarrow u^{-1}a = b \Rightarrow b \in (a) \Rightarrow (a) = (b)$   $\square$

Prop Let  $R$ : PID  $a \in R \setminus \{0\}$ . TFAE

1)  $a$  irr. (i.e.  $a = xy \Rightarrow |S\{x, y\} \cap R^\times| = 1$ )

2)  $(a)$  prime

3)  $(a)$  maximal

proof 3)  $\Rightarrow$  2) ok.

2)  $\Rightarrow$  1)  $a = xy \Rightarrow xy \in (a) \Rightarrow x \in (a) \vee y \in (a)$

wlog  $x \in (a) \Rightarrow (x) = (a) \Rightarrow ux = a = xy$   $u \in R^\times$   
 $\Rightarrow u = y \in R^\times$   $y \notin R^\times$

1)  $\Rightarrow$  3)  $(a) \subseteq (b) \subseteq R \Rightarrow a = xb \Rightarrow x \in R^\times \sim b \in R^\times$   
 $(a) = (b)$   $(b) = R$   $\square$

Cor Let  $R$  : PID

1)  $a \in R$  irr  $\Rightarrow R/(a)$  field

2) If  $R$  not field  $\dim R = 1$ .

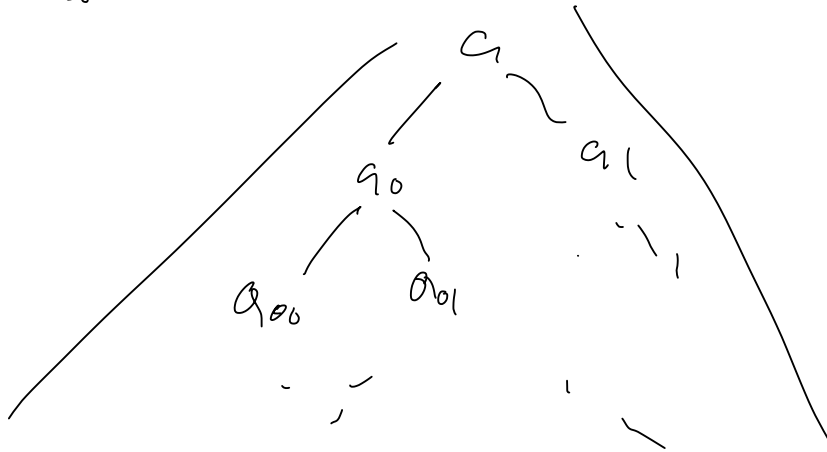
$(0) \subseteq (p)$   
max.  
 $\Leftrightarrow$  prime

## ② Factorization

Let  $R$ : domain  $a \in R \setminus \{0\}$   $a \in R^*$

$a$  not irr  $\Rightarrow a = a_0 a_1$   $a_0, a_1 \in R^*$

$a_0$  not irr  $\Rightarrow a_0 = a_{00} a_{01}$   $a_{00}, a_{01} \in R^*$  etc



Q Does this stop i.e.  $a = p_1 \dots p_n$   $p_i$  irr.?

2) Are  $(p_1), (p_2), \dots, (p_n)$  unique up to order.

If  $\forall a$  1, 2 hold we call  $R$  unique factorization domain.

Ex  $\mathbb{Z}, K[x_1, \dots, x_n]$  UFD

Ex  $R = \mathbb{Z}[\sqrt{5}i]$  i.e.  $R = \text{im } \phi$   $\phi: \mathbb{Z}[x] \rightarrow \mathbb{C}$   
 $x \mapsto \sqrt{5}i$   
is not a UFD  $2 \cdot 2 = (1 + \sqrt{5}i)(1 - \sqrt{5}i)$

Ex  $R = \mathbb{H}$ : holomorphic functions.  
 $\sin z = (z - n\pi) \frac{\sin z}{(z - n\pi)}$   $n \in \mathbb{Z}$   
irreducible

Thm  $R: \text{PID} \Rightarrow R: \text{UFD}$

Thm (Gauss)  $R: \text{UFD} \Rightarrow R[x]: \text{UFD}$

Cor  $R: \text{UFD} \Rightarrow R[x_1, \dots, x_n]: \text{UFD}$

### ③ Noetherian ring

Def/prod Let  $R$ : commutative ring, TFAE

1) Any ideal is f.w. gen.

2)  $I_0 \subseteq I_1 \subseteq \dots \subseteq R \Rightarrow \exists N: \forall i \geq N I_i = I_N$

3) Any set  $\Sigma \neq \emptyset$  of ideals in  $R$  has a maximal element.

Then we call  $R$  noetherian

proof "1)  $\Rightarrow$  2)" in 2) let  $I = \cup I_i \subseteq R$  ideal

$\Rightarrow I = (g_1, \dots, g_m) \Rightarrow \exists N: g_j \in I_N$

$\Rightarrow I = I_N \Rightarrow I_N \supseteq I_i$

"2)  $\Rightarrow$  3)" Follows by Zorn's lemma

as any chain in  $(\Sigma, \subseteq)$

has a maximal element (upper bound)

$\Rightarrow \Sigma$  has a max element.

"3)  $\Rightarrow$  1)" Let  $I \subseteq R$  ideal

Write  $\Sigma = \{J \subseteq I \mid J \text{ f.g.}\}$   $\exists J_0 \subseteq \Sigma$  has max el.

Claim  $J_0 = I$

If  $a \in J$  then  $J_0 + (a) \in \Sigma \Rightarrow J_0 = J_0 + (a)$

$\Rightarrow a \in J_0 \Rightarrow I \subseteq J_0 \subseteq I \rightarrow I = J_0 \quad \square$

Ex Any PID is Noetherian.

Prop If  $R$ : Noetherian domain then

any  $b \in R \setminus \{0\}$ ,  $b \in R^*$  factors into ir.  
(not nec. unique)

Proof Assume not

$$b = b_0$$

$$b_n = a_{n+1} b_{n+1}$$

$$a_i, b_i \in R \setminus (\{0\} \cup R^*)$$

$b_i$  don't factor.

Now  $(b_1) \subseteq (b_2) \subseteq \dots$

$R$ : Noetherian  $\exists n : (b_n) = (b_{n+1})$

$$\Rightarrow b_{n+1} = u b_n \quad u \in R^*$$

$$\Rightarrow a_{n+1} = u \quad \Downarrow \quad \heartsuit$$

Thm  $R$ : PID  $\Leftrightarrow R$ : UFD

proof  $R$  Noeth., so we only need uniqueness

Same proof as for integers.

key (a) prime  $\Leftrightarrow a$  ir. element

for  $a \neq 0$ .

Prop Let  $R$  : Noetherian

① If  $I \subseteq R$  ideal  $R/I$  : Noetherian.

② If  $S \subseteq R$  multiplicative  $S^{-1}R$  : Noetherian.

proof Exercise. Note in ② we may assume  $S$  is regular by ①

Thm (Hilbert's Basis Theorem)

If  $R$  comm. Noetherian ring,  
then  $R[x]$  is Noetherian.

Corollary If  $K$  : field then  $K[x_1, \dots, x_n]$  is Noetherian. i.e. any ideal in  $K[x_1, \dots, x_n]$  is f.g.

Corollary If  $K$  : field any comm. f.g.  $K$ -alg is Noetherian.

proof  $A$  : f.g.  $\Rightarrow \exists K[x_1, \dots, x_n] \xrightarrow{\phi} A$  sur.  
Noeth

$\Rightarrow \text{im } \phi = A$   
 $\cong$   
 $K[x_1, \dots, x_n] / \ker \phi.$

proof Let  $I \subseteq R[x]$  we show  $I = \langle g \rangle$ .

set  $I_n = \{ a \in R \mid \underbrace{ax^n + b_{n-1}x^{n-1} + \dots + b_0 \in I \text{ for } b_i \in R} \} \subseteq R$

in part.  $I_0 = I \cap R$

Claim  $I_n \subseteq R$  ideal  $I_n \subseteq I_{n+1}$ .

① add  $\underbrace{\hspace{2cm}}$  and mult  $\underbrace{\hspace{2cm}}$  by  $v \in R$

② mult.  $\underbrace{\hspace{2cm}}$  by  $x$

Now  $I_0 \subseteq I_1 \subseteq \dots \subseteq R$  Noetherian  $\Rightarrow \exists N$

st  $I_n = I_N \quad \forall n \geq N$ .

For  $i \in N$   $I_i = (a_{i,1}, \dots, a_{i,m_i}) \subseteq R$  Noetherian

for some  $a_{ij} \in R$ .

By def  $I_i$  there is

$$f_{ij}(x) = a_{ij}x^{k_i} + \dots \in I$$

Claim  $I = I' := (f_{ij} \mid a_{ij} \in N, (i,j) \in m_i)$

$I' \subseteq I$  clear. show  $I \subseteq I'$ .

Let  $g \in I$  we show  $g \in I'$  by induction on  $\deg g = n$ .

$n=0$   $g \in I \cap R = I_0 = (a_{0,1}, \dots, a_{0,m_0}) \Rightarrow g \in I'$   
 $\underbrace{\hspace{1cm}}_{I_0} \quad \underbrace{\hspace{1cm}}_{I_0}$

$$n > 0 \Rightarrow g(x) = bx^n + \dots \in I$$

$$\Rightarrow b \in I_n = I_i \quad \text{where } i = \min(N, n)$$

$$\Rightarrow b = \sum_{j=1}^{m_i} c_j a_{ij} \quad \text{for some } c_j \in R$$

$$\text{set } f(x) = \left( \sum_{j=1}^{m_i} c_j f_{ij} \right) x^{n-i} = bx^n + \dots \in I'$$

So  $\deg(g-f) < n$ . Since  $g-f \in I$   
we have  $g-f \in I'$  by induction.

$$\Rightarrow g \in I' + I = I'$$

Ex ①  $\mathbb{Z}[\sqrt{5}] \cong \mathbb{Z}[x]/(x^2+5)$  Noetherian domain.  
but not UFD.

②  $K[x_1, \dots, x_n]$  Noeth. UFD

③  $K[x_1, x_2, \dots]$  Not Noeth. but UFD. *Exercise.*

④  $H$ : holomorphic functions is not Noetherian  
but a domain.