

COMBINATORIAL CATEGORIFICATION  
OF  $\mathfrak{sl}_k$ -KNOT INVARIANTS

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1.  $\mathfrak{sl}(k)$  link polynomial and trivalent colored graphs

The  $\mathfrak{sl}(k)$  link polynomial  $P_k$  is defined via the skein relation:

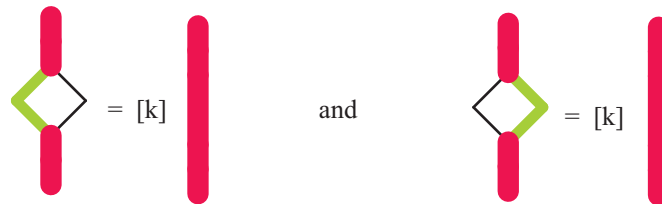
$$q^k \mathbf{P}_k \left( \begin{array}{c} \nearrow \\ \searrow \end{array} \right) - q^{-k} \mathbf{P}_k \left( \begin{array}{c} \nwarrow \\ \swarrow \end{array} \right) = (q - q^{-1}) \mathbf{P}_k \left( \begin{array}{c} \uparrow \\ \uparrow \end{array} \right)$$

and normalized by setting

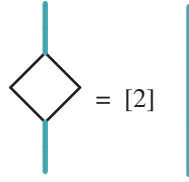
$$\mathbf{P}_k(\text{trivial knot}) = [k] = \frac{q^k - q^{-k}}{q - q^{-1}}.$$

Graphical calculus for  $P_k$  (Murakami, Ohtsuki, Yamada):

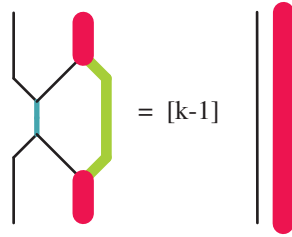
Generators:



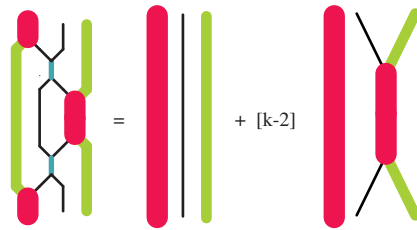
MOY Relation (I)



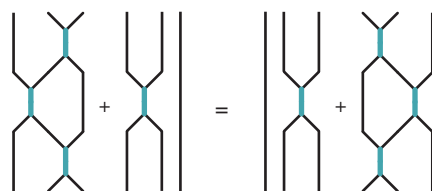
**MOY Relation (II)**



**MOY Relation (III)**



**MOY Relation (IV)**



**MOY Relation (V)**

## 2. Functorial invariants of trivalent colored graphs

Need: to associate a functor to every generator.

### 2.1 Categories

$\mathcal{O}(n)$  — BGG category  $\mathcal{O}$  for  $\mathfrak{sl}_n$

$\lambda = (\lambda_1, \dots, \lambda_n)$  — composition of  $n$

$\mathcal{O}(n)_\lambda$  — singular block of  $\mathcal{O}$  with stabilizer corr. to  $\lambda$

$\mu = (\mu_1, \dots, \mu_n)$  — composition of  $n$

$\mathcal{O}(n)^\mu$  — parabolic subcategory of  $\mathcal{O}$  corresp. to  $\mu$

$\mathcal{O}(n)_\lambda^\mu$  — parabolic part of the singular block

$\mathcal{O}(n)_\lambda^\mu$  is the category of modules over a Koszul algebra

${}^{\mathbb{Z}}\mathcal{O}(n)_\lambda^\mu$  — graded version

Our  $k$  means that we work with parabolic categories, which correspond to compositions with at most  $k$  parts.

## 2.2 Functors

If  $\nu$  is finer than  $\lambda$  we have translation *out of the wall*:

$$\theta_\lambda^\nu : \mathbb{Z}\mathcal{O}(n)_\lambda \longrightarrow \mathbb{Z}\mathcal{O}(n)_\nu$$

and adjoint translation *onto the wall*:

$$\theta_\nu^\lambda : \mathbb{Z}\mathcal{O}(n)_\nu \longrightarrow \mathbb{Z}\mathcal{O}(n)_\lambda$$

**Define:**

$$\bigvee_{(i,j)}^{(i,j)} = \bigoplus_{\mu} \theta_{(i+j)}^{(i,j)} : \bigoplus_{\mu} \mathbb{Z}\mathcal{O}(i+j)_{(i+j)}^{\mu} \longrightarrow \bigoplus_{\mu} \mathbb{Z}\mathcal{O}(i+j)_{(i,j)}^{\mu}.$$

$$\bigwedge_{(i,j)}^{(i+j)} = \bigoplus_{\mu} \theta_{(i,j)}^{(i+j)} : \bigoplus_{\mu} \mathbb{Z}\mathcal{O}(i+j)_{(i,j)}^{\mu} \longrightarrow \bigoplus_{\mu} \mathbb{Z}\mathcal{O}(i+j)_{(i+j)}^{\mu},$$

where

$$\begin{array}{ccc}
 \begin{array}{c} \text{green} \\ \text{red} \\ \text{green} \end{array} = \begin{array}{c} (k-1,1) \\ \text{Y} \\ (k) \end{array} & 
 \begin{array}{c} \text{red} \\ \text{green} \end{array} = \begin{array}{c} (1,k-1) \\ \text{Y} \\ (k) \end{array} & 
 \begin{array}{c} \text{red} \\ \text{green} \end{array} = \begin{array}{c} (k) \\ \text{A} \\ (k-1,1) \end{array} \\
 \\
 \begin{array}{c} \text{red} \\ \text{green} \end{array} = \begin{array}{c} (k) \\ \text{A} \\ (1,k-1) \end{array} & 
 \begin{array}{c} \text{cyan} \end{array} = \begin{array}{c} (2) \\ \text{A} \\ (1,1) \end{array} & 
 \begin{array}{c} \text{cyan} \end{array} = \begin{array}{c} (1,1) \\ \text{Y} \\ (2) \end{array}
 \end{array}$$

### 2.3 How to check relations?

$q$  means shift of grading

Translations out of a wall and onto a wall are examples of the so-called *projective functors*. These are direct summands of the functors of the form  $V \otimes_{\mathbb{C}} - : \mathcal{O} \rightarrow \mathcal{O}$ , where  $V$  is a finite-dimensional  $\mathfrak{sl}_n$ -module.

Projective functors on  $\mathcal{O}$  are classified:

*Theorem. (BG)* Projective functors from some block of  $\mathcal{O}$  to  $\mathcal{O}$  are uniquely determined by the value on the dominant Verma module in the block. Indecomposable projective functors correspond to certain indecomposable projective modules

*Warning:* Projective functors are not classified on the categories we work with!

*PROBLEM:* Classify projective functors on  $\mathcal{O}^\mu$ .

*Idea of the proof of relations:*

- Compute the functors for both sides in  $\mathcal{O}$ .
- See that they agree modulo some “Error term”.
- Restrict the result to our parabolic categories.
- Show that the error term annihilates the parabolic categories corresponding to compositions with at most  $k$  parts.

**Advantage:** All calculations are purely combinatorial.

Apart from combinatorics, we need the following:

- Classification of projective functors on  $\mathcal{O}$  (BG).
- Projective functors admit graded lifts.
- Indecomposable projective functor is associated to an indecomposable projective modules and thus to a standard tableau. If this tableau has more than  $k$  rows, then the original functor annihilates any parabolic category associated to the composition with at most  $k$  rows (a basic facts from Kazhdan-Lusztig's theory).
- Composition of projective functors decomposes “symmetrically” with respect to the grading.

**Example:**

**Relation (III).** There is an isomorphism of functors

$$\theta_{(1,1,k-1)}^{(1,k)} \theta_{(2,k-1)}^{(1,1,k-1)} \theta_{(1,1,k-1)}^{(2,k-1)} \theta_{(1,k)}^{(1,1,k-1)} \cong F \oplus [k-1] \text{id}, \quad (1)$$

where  $F$  is indecomposable and vanishes when restricted to any parabolic with at most  $k$  parts.

**Proof for  $k = 3$ :**

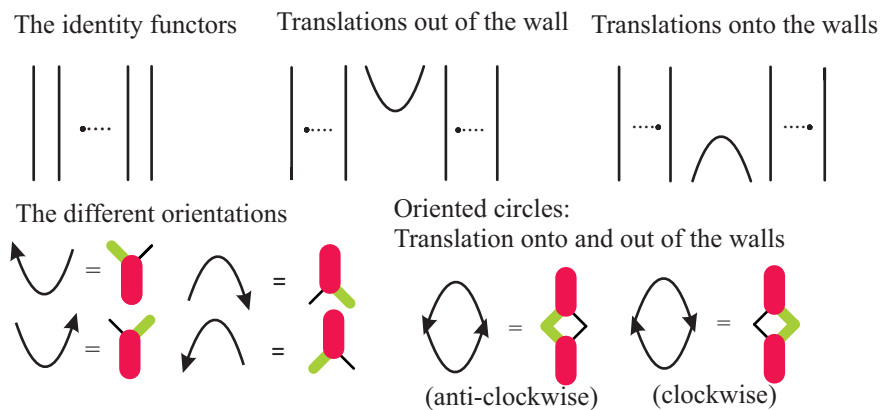
$$\begin{array}{ccccccc}
 & \theta_{(1,k)}^{(1,1,k-1)} & & \theta_{(1,1,k-1)}^{(2,k-1)} & & \theta_{(2,k-1)}^{(1,1,k-1)} & & \theta_{(1,1,k-1)}^{(1,k)} & & \\
 & & & & & & & & & e \\
 e & \rightarrow & 32 & \rightarrow & 32 & \rightarrow & 321 & \rightarrow & 321 & \\
 & & 2 & & 2 & & 21 & 32 & 21 & e \\
 & & e & & e & & 1 & 2 & 1 & \\
 & & & & & & & e & & e
 \end{array}$$

### 3. Functorial invariants of oriented tangles

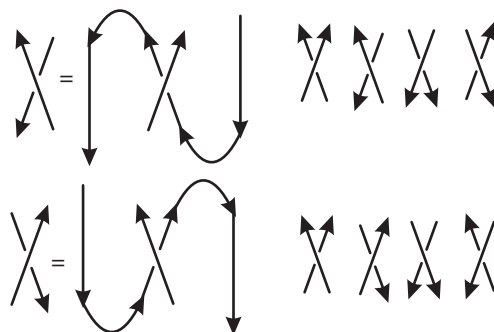
Need to associate functors with elementary tangles.

Vertical strands — identity functor

Cap and cup diagrams are handled as follows:



Reduction of crossings to crossings oriented up:



# MAIN DIFFICULTY:

Crossings oriented up:

$$\begin{array}{c} \nearrow \\ \searrow \end{array} = q^{-k} \left( q \begin{array}{c} \uparrow \\ \uparrow \end{array} \rightarrow \begin{array}{c} \text{Y} \\ \text{Y} \end{array} \right) \qquad \begin{array}{c} \nearrow \\ \searrow \end{array} = q^k \left( \begin{array}{c} \text{Y} \\ \text{Y} \end{array} \rightarrow q^{-1} \begin{array}{c} \uparrow \\ \uparrow \end{array} \right)$$

The above means that we

- Go to the derived category.
- Associate to the above crossings (derived) Irving's (co)shuffling functors.

Many relations to check.

Two of them (see below) require non-combinatorial arguments, that is computations with complexes (derived functors) in the derived category.

$$\begin{array}{c} \nearrow \\ \searrow \end{array} = \uparrow = \begin{array}{c} \searrow \\ \nearrow \end{array} \qquad \begin{array}{c} \uparrow \\ \downarrow \end{array} = \begin{array}{c} \uparrow \\ \downarrow \end{array} = \begin{array}{c} \uparrow \\ \downarrow \end{array} \qquad \begin{array}{c} \uparrow \\ \downarrow \end{array} = \begin{array}{c} \uparrow \\ \downarrow \end{array} = \begin{array}{c} \uparrow \\ \downarrow \end{array}$$

***MAIN THEOREM.*** The functors as above are invariants of oriented tangles and categorify  $\mathbf{P}_k$  (that is satisfy the necessary relation in the Grothendieck group of the homotopy category of complexes of projective functors).