# To infinity and back

Logical limit laws and almost sure theories

Ove Ahlman

Uppsala University

Licentate-seminar, May 19, 2014

Opponent: Kerkko Luosto

## Table of Contents

Introduction

Paper I - Colourable pregeometries

Paper II - Nonrigid structures

Paper III - Almost sure theories

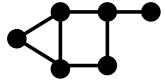


## Introduktion

A finite graph G = (G, E) is a finite set G with a binary "edge" relation E.







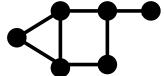
Generalized to finite relational structures  $\mathcal{M} = (M, R_1, ..., R_k)$ .

#### Introduktion

A finite graph G = (G, E) is a finite set G with a binary "edge" relation E.







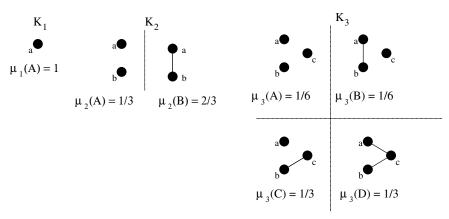
Generalized to finite relational structures  $\mathcal{M} = (M, R_1, ..., R_k)$ .

For each  $n \in \mathbb{N}$  let  $\mathbf{K}_n$  be a finite set of finite structures and  $\mu_n$  a probability measure on  $\mathbf{K}_n$ . If  $\varphi$  is a formula let

$$\mu_n(\varphi) = \mu_n(\{\mathcal{N} \in \mathbf{K}_n : \mathcal{N} \models \varphi\})$$

 $\mathbf{K} = \bigcup_{n=1}^{\infty} \mathbf{K}_n$  has a convergence law if for each formula  $\varphi$ ,  $\lim_{n\to\infty} \mu_n(\varphi)$  converges.





If we let  $\varphi$  be the formula  $\exists x \exists y (xEy)$  then

$$\mu_1(\varphi) = 0$$
  $\mu_2(\varphi) = 2/3$   $\mu_3(\varphi) = 5/6$ 

 $\lim_{n\to\infty} \mu_n(\varphi)$  converges if the sequence 0,2/3,5/6,... converges.

### 0-1 laws

If for each formula  $\varphi$ 

$$\lim_{n\to\infty}\mu_n(\varphi)=1\quad\text{or}\quad\lim_{n\to\infty}\mu_n(\varphi)=0$$

then **K** has 0-1 law.

<sup>&</sup>lt;sup>1</sup>R. Fagin, Probabilities on finite models, J. Symbolic Logic 41(1976), no. 1 
<sup>2</sup>Glebskii et. al. Volume and fraction of satisfieability of formulas over the lower predicate calculus, Kibernetyka Vol. 2 (1969)

#### 0-1 laws

If for each formula  $\varphi$ 

$$\lim_{n\to\infty}\mu_n(\varphi)=1\quad\text{or}\quad\lim_{n\to\infty}\mu_n(\varphi)=0$$

then **K** has 0-1 law.

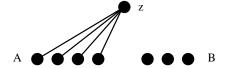
Let  $\mathbf{K}_n$  consisting of all structures with universe  $\{1,...,n\}$  (over a fixed vocabulary) with  $\mu_n(\mathcal{N}) = \frac{1}{|\mathbf{K}_n|}$ . Fagin<sup>1</sup> and independently Glebksii et. al.<sup>2</sup> proved that this  $\mathbf{K}$  has a 0-1 law. Since then many other 0-1 laws have been proven.

<sup>&</sup>lt;sup>1</sup>R. Fagin, Probabilities on finite models, J. Symbolic Logic 41(1976), no. 1 
<sup>2</sup>Glebskii et. al. Volume and fraction of satisfieability of formulas over the lower predicate calculus, Kibernetyka Vol. 2 (1969)

 $\mathcal N$  satisfies the k-extension property  $\varphi_k$  (for graphs) if:

$$A, B \subseteq N, A \cap B = \emptyset, |A \cup B| \le k \Rightarrow \exists z$$
:

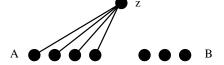
aEz and  $\neg bEz$  for each  $a \in A, b \in B$ 



 $\mathcal N$  satisfies the k-extension property  $\varphi_k$  (for graphs) if:

$$A, B \subseteq N, A \cap B = \emptyset, |A \cup B| \le k \Rightarrow \exists z :$$

aEz and  $\neg bEz$  for each  $a \in A, b \in B$ 

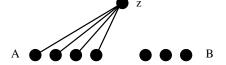


If **K** consist of all structures, then  $\lim_{n\to\infty} \mu_n(\varphi_k) = 1$ . We say that  $\varphi_k$  is an almost sure property.

 $\mathcal{N}$  satisfies the k-extension property  $\varphi_k$  (for graphs) if:

$$A, B \subseteq N, A \cap B = \emptyset, |A \cup B| \le k \Rightarrow \exists z$$
:

aEz and  $\neg bEz$  for each  $a \in A, b \in B$ 



If **K** consist of all structures, then  $\lim_{n\to\infty} \mu_n(\varphi_k) = 1$ . We say that  $\varphi_k$  is an almost sure property.

$$T_{\mathsf{K}} = \{ \varphi : \lim_{n \to \infty} \mu_n(\varphi) = 1 \}$$

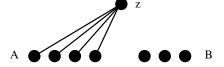
is called the almost sure theory.



 $\mathcal{N}$  satisfies the k-extension property  $\varphi_k$  (for graphs) if:

$$A, B \subseteq N, A \cap B = \emptyset, |A \cup B| \le k \Rightarrow \exists z :$$

aEz and  $\neg bEz$  for each  $a \in A, b \in B$ 



If **K** consist of all structures, then  $\lim_{n\to\infty} \mu_n(\varphi_k) = 1$ . We say that  $\varphi_k$  is an almost sure property.

$$T_{\mathsf{K}} = \{ \varphi : \lim_{n \to \infty} \mu_n(\varphi) = 1 \}$$

is called the almost sure theory.

Note:  $T_{\mathbf{K}}$  is complete iff **K** has a 0-1 law.

For categorical theories completeness is equivalent with not having any finite models.

#### Theorem

 $T_{\mathbf{K}}$  is  $\aleph_0$ —categorical.

Hence this will prove that **K** has a 0-1 law.

For categorical theories completeness is equivalent with not having any finite models.

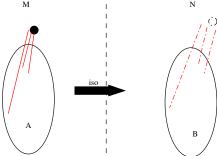
#### Theorem

 $T_{\mathbf{K}}$  is  $\aleph_0$ -categorical.

Hence this will prove that  $\mathbf{K}$  has a 0-1 law.

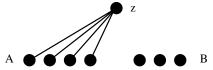
#### Proof.

Take  $\mathcal{N}, \mathcal{M} \models T_{\mathbf{K}}$ . Build partial isomorphisms back and forth by using the extension properties to help.

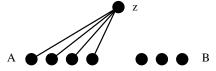




1. Find extension properties which are almost sure.

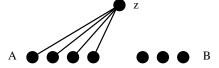


1. Find extension properties which are almost sure.



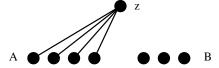
2. Collect these into a theory T. T is complete iff **K** has a 0-1 law.

1. Find extension properties which are almost sure.



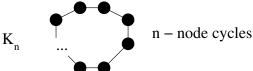
- 2. Collect these into a theory T. T is complete iff **K** has a 0-1 law.
- 3. Prove that T is  $\aleph_0$ —categorical and hence complete.

1. Find extension properties which are almost sure.



- 2. Collect these into a theory T. T is complete iff **K** has a 0-1 law.
- 3. Prove that T is  $\aleph_0$ —categorical and hence complete.

Note: This method is not allways useable to prove 0-1 laws.



# Paper I: Random *I*—colourable structures with a pregeometry

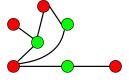
#### Paper I in one sentence:

Having a vector space "as universe" we show that  $\mathbf{K}_n$  consisting of colourable structures has a 0-1 law.



# Coloured graphs

An I-colouring of a graph  $\mathcal{G} = (V, E)$  is a function  $\gamma: V \to \{1, ..., I\}$  such that whenever aEb,  $\gamma(a) \neq \gamma(b)$ .

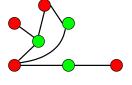






# Coloured graphs

An I-colouring of a graph  $\mathcal{G} = (V, E)$  is a function  $\gamma: V \to \{1, ..., I\}$  such that whenever aEb,  $\gamma(a) \neq \gamma(b)$ .







With higher arities on relations we have a problem.

Either:  $R(a_1,...,a_n)$  implies  $\gamma(a_i) \neq \gamma(a_j)$  for each i,j. or (weak coloring)  $R(a_1,...,a_n)$  implies  $\gamma(a_i) \neq \gamma(a_j)$  for some i,j.



R(a,b,c,...,d)



If  ${\cal M}$  has the *span* operator of a vector space then:

$$a \in Span(b) \Rightarrow \gamma(a) = \gamma(b)$$

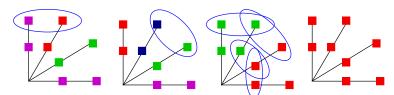
$$R(a_1,...,a_n) \Rightarrow \forall a,b \in Span(a_1,...,a_n), a \notin span(b) : \gamma(a) \neq \gamma(b)$$

If  $\mathcal{M}$  has the *span* operator of a vector space then:

$$a \in Span(b) \Rightarrow \gamma(a) = \gamma(b)$$

 $R(a_1,...,a_n) \Rightarrow \forall a,b \in Span(a_1,...,a_n), a \notin span(b) : \gamma(a) \neq \gamma(b)$  or for weak colourings

$$R(a_1,...,a_n) \Rightarrow \exists a,b \in Span(a_1,...,a_n) : \gamma(a) \neq \gamma(b)$$



# Describing a colouring

Fixate a vocabulary  $V, I \in \mathbb{N}$  and finite vector spaces  $\mathcal{G}_n$ . Let  $\mathbf{K}_n$ be all I-colourable V-structures with underlying span operator from  $\mathcal{G}_n$ . Associate a probability measure  $\delta_n$  to each  $\mathbf{K}_n$  called the dimension conditional measure.

# Describing a colouring

Fixate a vocabulary  $V, I \in \mathbb{N}$  and finite vector spaces  $\mathcal{G}_n$ . Let  $\mathbf{K}_n$ be all I-colourable V-structures with underlying span operator from  $\mathcal{G}_n$ . Associate a probability measure  $\delta_n$  to each  $\mathbf{K}_n$  called the dimension conditional measure.

#### Theorem

There is a V-formula  $\xi(x,y)$  such that with asymptotic probability 1 in  $\mathcal{N} \in \mathbf{K}_n$  for each  $a, b \in \mathcal{N}$ ,  $\mathcal{N} \models \xi(a, b)$  if  $\gamma(a) \neq \gamma(b)$  for each I-colouring  $\gamma$ .

The proof is quite different depending on if we have strong colourings or not.

# A 0-1 law

#### Theorem

**K** has a 0-1 law for the measure  $\delta$ .

Proof, use Fagins extension axiom method:

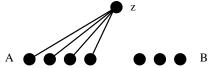
### A 0-1 law

#### Theorem

**K** has a 0-1 law for the measure  $\delta$ .

Proof, use Fagins extension axiom method:

1. Build almost sure extension axioms using  $\xi(x,y)$  to make sure the edges are in the right places.



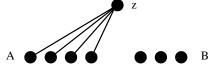
## A 0-1 law

#### **Theorem**

**K** has a 0-1 law for the measure  $\delta$ .

Proof, use Fagins extension axiom method:

1. Build almost sure extension axioms using  $\xi(x, y)$  to make sure the edges are in the right places.



- 2. Collect the extension axioms into a theory T (together with some more things).
- 3. Prove that T is  $\omega$ -categorical and hence complete.

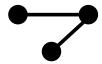
← □ ▷ ← □ ▷ ← □ ▷ ← □ ▷ ← □ ▷ ← □ ▷ ← □ ▷ ← □ ○

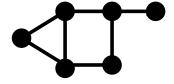
# Paper II: Limit laws and automorphism groups of random nonrigid structures

#### Paper II in one sentence:

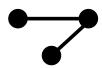
Having  $K_n$  consisting of structures with non-trivial automorphism group, we show that K has a convergence law.

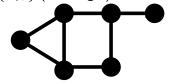
For **K** consisting of all V-structures we have that almost surely for  $\mathcal{N} \in \mathbf{K}$ ,  $Aut(\mathcal{N}) = \{id_{\mathcal{N}}\}$  ( $\mathcal{N}$  is rigid).





For **K** consisting of all V-structures we have that almost surely for  $\mathcal{N} \in \mathbf{K}$ ,  $Aut(\mathcal{N}) = \{id_{\mathcal{N}}\}$  ( $\mathcal{N}$  is rigid).

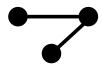


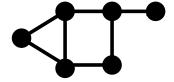


**Def:** If  $f: \mathcal{M} \to \mathcal{M}$  an automorphism then the support

$$Spt(f) = \{a \in M : f(a) \neq a\}$$
  $spt(\mathcal{M}) = max\{spt(g) : g \in Aut(\mathcal{M})\}$   $Spt^*(\mathcal{M}) = \{a \in M : \exists g \in Aut(\mathcal{M}), g(a) \neq a\}$ 

For **K** consisting of all V-structures we have that almost surely for  $\mathcal{N} \in \mathbf{K}$ ,  $Aut(\mathcal{N}) = \{id_{\mathcal{N}}\}$  ( $\mathcal{N}$  is rigid).





**Def:** If  $f: \mathcal{M} \to \mathcal{M}$  an automorphism then the support

$$Spt(f) = \{a \in M : f(a) \neq a\}$$
  $spt(\mathcal{M}) = max\{spt(g) : g \in Aut(\mathcal{M})\}$   $Spt^*(\mathcal{M}) = \{a \in M : \exists g \in Aut(\mathcal{M}), g(a) \neq a\}$ 

The above result is that almost surely  $Spt^*(\mathcal{N}) = \emptyset$ .

#### Proposition

For each  $m \in \mathbb{N}$  there is t > m such that

$$\lim_{n\to\infty}\frac{|\{\mathcal{M}\in \mathbf{K}_n: spt(\mathcal{M})\geq t\}|}{|\{\mathcal{M}\in \mathbf{K}_n: spt(\mathcal{M})\geq m\}|}=0$$

Proven through tedious computations.

### Proposition

For each  $m \in \mathbb{N}$  there is t > m such that

$$\lim_{n\to\infty}\frac{|\{\mathcal{M}\in \mathbf{K}_n: spt(\mathcal{M})\geq t\}|}{|\{\mathcal{M}\in \mathbf{K}_n: spt(\mathcal{M})\geq m\}|}=0$$

Proven through tedious computations.

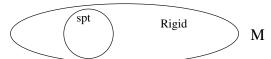
### Proposition

For  $\mathcal{M} \in \mathbf{K}$  is such that  $\operatorname{spt}(\mathcal{M}) \leq k$  then  $|\operatorname{Spt}^*(\mathcal{M})| \leq k^{k+2}$ .

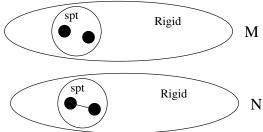
Proven by using a ramsey theoretic argument.

#### Corollary

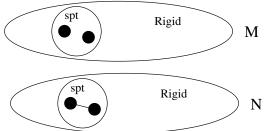
When studying asymptotic properties of  $\mathcal{M} \in \mathbf{K}_n$  restricted to  $spt(\mathcal{M}) \geq m$  or to  $Aut(\mathcal{M}) = \mathcal{G}$  for some group  $\mathcal{G}$ , we may without loss of generality restrict only to  $\mathcal{M}$  such that  $spt^*(\mathcal{M}) \leq t$  with t independent of n.



The structure of the support is also important.



The structure of the support is also important.

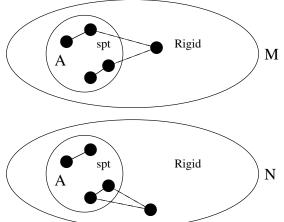


We may describe the support, and both of M and N above are "common". Hence

$$\exists x, y(x, y \in spt \land xEy)$$

will not go to 0 or 1, i.e. we do not have a 0-1 law by just studying  $spt^* = k$ .

For  $\mathcal{A}$  structure without fixed point and  $H < Aut(\mathcal{A})$ ,  $\mathbf{S}_n(\mathcal{A}, H)$  be structures with universe  $\{1, ..., n\}$  where  $\mathcal{A}$  is embeddable and with H a subgroup of the automorphism group of the support.



So  $M \in \mathbf{S}_n(A, H)$  and  $N \in \mathbf{S}_n(A, H')$  where  $H \neq H'$ .

 $\mathbf{S}_n(A, H)$  is a basic enough set to get some nice results.

## Proposition

For A, A' without fixpoints and  $H \leq Aut(A), H' \leq Aut(A')$  then:

$$\lim_{n\to\infty}\frac{S_n(\mathcal{A},H)}{S_n(\mathcal{A}',H')}$$

exist and go to  $0, \infty$  or some number  $a \in \mathbb{Q}^+$ , depending on the size of the automorphism groups and the number of orbits.

 $\mathbf{S}_n(A, H)$  is a basic enough set to get some nice results.

## Proposition

For A, A' without fixpoints and  $H \leq Aut(A), H' \leq Aut(A')$  then:

$$\lim_{n\to\infty}\frac{\mathbf{S}_n(\mathcal{A},H)}{\mathbf{S}_n(\mathcal{A}',H')}$$

exist and go to  $0, \infty$  or some number  $a \in \mathbb{Q}^+$ , depending on the size of the automorphism groups and the number of orbits.

## Proposition

G, G' finite groups then the following limit exists in  $\mathbb{Q}^+ \cup \{\infty\}$ 

$$\lim_{n\to\infty} \frac{|\{\mathcal{M}\in \mathbf{K}_n: G'\leq Aut(\mathcal{M})\}|}{|\{\mathcal{M}\in \mathbf{K}_n: G\leq Aut(\mathcal{M})\}|}$$

4 m > 4 m >

### Theorem

$$S_n(A, H)$$
 has a  $0-1$  law.

#### Theorem

```
If G is a finite group then \{\mathcal{M} \in \mathbf{K} : G \cong Aut(\mathcal{M})\}\,
\{\mathcal{M} \in \mathbf{K} : G \leq Aut(\mathcal{M})\}, \{\mathcal{M} \in \mathbf{K} : |spt^*(\mathcal{M})| = m\} and
\{\mathcal{M} \in \mathbf{K} : \operatorname{spt}(\mathcal{M}) \geq m\} all have convergence laws.
```

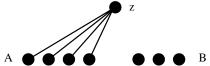
### Theorem

 $\mathbf{S}_n(\mathcal{A}, H)$  has a 0-1 law.

#### Theorem

If G is a finite group then  $\{\mathcal{M} \in \mathbf{K} : G \cong Aut(\mathcal{M})\}\$ ,  $\{\mathcal{M} \in \mathbf{K} : G \leq Aut(\mathcal{M})\}, \{\mathcal{M} \in \mathbf{K} : |spt^*(\mathcal{M})| = m\}$  and  $\{\mathcal{M} \in \mathbf{K} : \operatorname{spt}(\mathcal{M}) \geq m\}$  all have convergence laws.

Proof: Extension properties



Which now need to take special care of the support.

## Paper III: Countably categorical almost sure theories

### Paper III in one sentence:

Assuming a 0-1 law on  ${\bf K}$  we show how the almost sure theory affect and is affected by  ${\bf K}$ 



Let  $K = \bigcup_{n=1}^{\infty} K_n$  with each  $K_n$  having a probability  $\mu_n$  associated. Recall that  $T_{\mathbf{K}}$  is the theory of all sentences  $\varphi$  such that

$$\lim_{n\to\infty}\mu_n(\varphi)=1$$

"The almost sure theory"

Let  $\mathbf{K} = \bigcup_{n=1}^{\infty} \mathbf{K}_n$  with each  $\mathbf{K}_n$  having a probability  $\mu_n$  associated. Recall that  $T_{\mathbf{K}}$  is the theory of all sentences  $\varphi$  such that

$$\lim_{n\to\infty}\mu_n(\varphi)=1$$

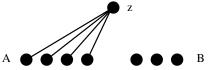
"The almost sure theory"

We have shown that using extension properties we may often show 0-1 laws. In general we get the following

#### **Theorem**

**K** has a 0-1 law and  $T_{\mathbf{K}}$  is  $\aleph_0-$ categorical iff

K almost surely satisfies extension properties



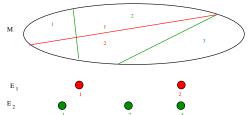
Extension properties may be very complicated (like Paper I).

 $\mathcal{M}^{eq}$  is the structure  $\mathcal{M}$  where for each  $\emptyset$ -definable r-ary equivalence relation E:

- ▶ There is a unique element  $e \in M^{eq} M$  for each E-equivalence class.
- ▶ There is a new unary relation symbol P<sub>F</sub> such that e represents an E-equivalence class iff  $\mathcal{M}^{eq} \models P_E(e)$
- ▶ There is a r + 1-ary relation symbol  $R_F(y, \bar{x})$  such that  $\bar{a} \in M$ is in the equivalence class of e iff  $\mathcal{M}^{eq} \models R_F(e, \bar{a})$ .

 $\mathcal{M}^{eq}$  is the structure  $\mathcal{M}$  where for each  $\emptyset$ —definable r—ary equivalence relation E:

- ► There is a unique element  $e \in M^{eq} M$  for each E-equivalence class.
- ► There is a new unary relation symbol  $P_E$  such that e represents an E-equivalence class iff  $\mathcal{M}^{eq} \models P_E(e)$
- ▶ There is a r+1-ary relation symbol  $R_E(y, \bar{x})$  such that  $\bar{a} \in M$  is in the equivalence class of e iff  $\mathcal{M}^{eq} \models R_E(e, \bar{a})$ .



Could be thought of as an "Anti-quotient". A very important structure in infinite model theory.

If  $E = \{E_1, ..., E_n\}$  is a finite set of  $\emptyset$ -definable equivalence relations then let  $\mathbf{K}^E$  be  $\mathbf{K}$  where we add the  $\mathcal{M}^{eq}$  structure for only the equivalence relations in E to each  $\mathcal{N} \in \mathbf{K}$ .

If  $E = \{E_1, ..., E_n\}$  is a finite set of  $\emptyset$ -definable equivalence relations then let  $\mathbf{K}^E$  be  $\mathbf{K}$  where we add the  $\mathcal{M}^{eq}$  structure for only the equivalence relations in E to each  $\mathcal{N} \in \mathbf{K}$ .

#### Theorem

Let **K** be a set of finite relational structures with almost sure theory  $T_{\mathbf{K}}$ , then

**K** has a 0-1 law and  $T_{\mathbf{K}}$  is  $\omega$ -categorical.

iff

 $K^E$  has a 0-1 law and  $T_{K^E}$  is  $\omega$ -categorical.

Paper III - Almost sure theories

If  $E = \{E_1, ..., E_n\}$  is a finite set of  $\emptyset$ —definable equivalence relations then let  $\mathbf{K}^E$  be  $\mathbf{K}$  where we add the  $\mathcal{M}^{eq}$  structure for only the equivalence relations in E to each  $\mathcal{N} \in \mathbf{K}$ .

#### **Theorem**

Let K be a set of finite relational structures with almost sure theory  $T_K$ , then

**K** has a 0-1 law and  $T_{\text{K}}$  is  $\omega-$ categorical.

iff

 $K^E$  has a 0-1 law and  $T_{K^E}$  is  $\omega$ -categorical.

**Proof:** An application of the previous theorem.

# Strongly minimal countably categorical almost sure theories

A theory T is strongly minimal if for each  $\mathcal{M} \models T$ , formula  $\varphi(x, \bar{y})$  and  $\bar{a} \in M$ .

$$\varphi(\mathcal{M}, \bar{a}) = \{b \in M : \mathcal{M} \models \varphi(b, \bar{a})\} \text{ or } \neg \varphi(\mathcal{M}, \bar{a})$$

is finite.

## Strongly minimal countably categorical almost sure theories

A theory T is strongly minimal if for each  $\mathcal{M} \models T$ , formula  $\varphi(x, \bar{y})$  and  $\bar{a} \in M$ .

$$\varphi(\mathcal{M}, \bar{a}) = \{b \in M : \mathcal{M} \models \varphi(b, \bar{a})\} \text{ or } \neg \varphi(\mathcal{M}, \bar{a})$$

is finite.

#### **Theorem**

Assume **K** has a 0-1 law and  $\mathcal{N} \in \mathbf{K}_n$  implies  $|\mathcal{N}| = n$  then

There exists  $m \in \mathbb{N}$  such that  $\lim_{n \to \infty}$ 

$$\mu_n(\{\mathcal{M} \in \mathbf{K}_n : \text{there is } X \subseteq M, |X| \leq m, Sym_X(M) \leq Aut(\mathcal{M})\}) = 1$$

 $T_{\mathbf{K}}$  is strongly minimal and  $\omega$ -categorical

## The End

Questions...?