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## Graph reachability

REACHABILITY is the following problem:

Given a graph  $G$  and vertices  $x$  and  $y$ , is there a path from  $x$  to  $y$ ?

REACHABILITY  $\in \text{PTIME}$ ,  
in fact it can be solved in time  $O(n^2)$ ; see chap. 1.1.

# The reachability method

Thm 7.4: Let  $f(n)$  be a proper complexity function. Then :

$$(a) \text{SPACE}(f(n)) \subseteq \text{NSPACE}(f(n))$$

$$\text{and } \text{TIME}(f(n)) \subseteq \text{NTIME}(f(n)).$$

$$(b) \text{NTIME}(f(n)) \subseteq \text{SPACE}(f(n)).$$

$$(c) \text{NSPACE}(f(n))$$

$$\subseteq \text{TIME}(k^{\log n + f(n)}).$$

Proof idea : (a) immediate.

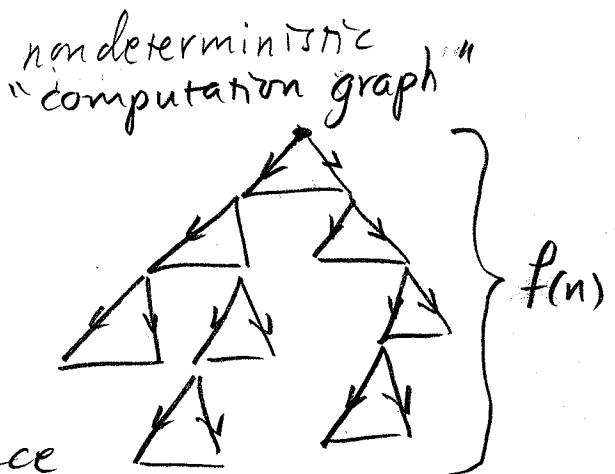
(b) Left side says

that every computation  
is bounded in length (of time)  
by  $f(n)$ . Thus we

never need more space  
than  $f(n)$  if we reuse the space

of the previously tried  
computation.

(compare with proof of Thm. 2.6)



(3)

(c) Look at left side (of ' $\leq$ ').

Suppose the NTM  $M$  decides  $L$  within space  $f(n)$ , so for any input  $x$  with  $|x|=n$ , no more space than  $f(n)$  is needed.

For some  $c_0$  depending only on  $M$  there are at most  $n \cdot c_0^{f(n)}$  configurations (if  $|x|=n$ ) such that all strings are bounded by  $f(n)$  and  $n \cdot c_0^{f(n)} = c_0^{\log c_0 n + f(n)} = c_0^{\frac{\log_2 n}{\log_2 c_0} + f(n)} = c_1^{\log n + f(n)}$

where  $c_1 = c_0^{\left(\log_2 c_0\right)^{-1}}$  and ' $\log$ ' = ' $\log_2$ '.

Hence, the computation/configuration graph has at most  $c_1^{\log n + f(n)}$  vertices.

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For each accepting configuration in the graph we need at most time  $c_2 \left(c_1^{\log n + f(n)}\right)^2 = (c_2 c_1^2)^{\log n + f(n)}$  to check whether it is reachable from the input configuration.

(since REACHABILITY  $\in \text{TIME}(c_2 n^2)$ ).

Doing this for every accepting config. (among at most  $c_1^{\log n + f(n)}$ ) gives the time bound

$$\leq c_1^{\log n + f(n)} \cdot (c_2 c_1^2)^{\log n + f(n)} \\ \leq c^{\log n + f(n)} \quad \text{for appropriately chosen } c. \quad \square$$

(5)

### Notation:

$$L \stackrel{\text{def}}{=} \text{SPACE}(\log n)$$

$$NL \stackrel{\text{def}}{=} \text{NSPACE}(\log n)$$

### Corollary (to F. 4):

$$L \subseteq NL \subseteq P \subseteq NP \subseteq \text{PSPACE}$$

(because  $NL \stackrel{\text{def}}{=} \text{NSPACE}(\log n) \stackrel{(c)}{\subseteq}$   
 $\text{TIME}(k^{\log n + \log n}) = \text{TIME}(n^c)$ )

where  $c$  depends on  $k$ , and

$NP \subseteq \text{PSPACE}$  is immediate from (b)).

Thm 7.5 (Savitch's thm) :

REACHABILITY  $\in \text{SPACE}(\log^2 n)$ .

Proof idea: For a graph  $G$  and vertices  $x$  and  $y$ , and  $i \geq 0$ , check whether there is a path from  $x$  to  $y$  of length at most  $2^i$ .

The algorithm checks this recursively

for  $i = 0, \dots, \lceil \log n \rceil$  where

$\{1, \dots, n\}$  is the set of vertices of  $G$ .

Moreover, we need only keep track of  $\lceil \log n \rceil$  triples of the form  $(x, y, i)$  where  $x, y \in \{1, \dots, n\}$  and  $i$  are represented in binary (hence of length at most  $\lceil \log n \rceil$ ).  $\square$

# Important

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Corollary: For every proper complexity function  $f(n) \geq \log n$ ,

$\text{NSPACE}(f(n)) \subseteq \text{SPACE}(f^2(n))$ ,  
and consequently  $\text{PSPACE} = \text{NPSPACE}$ .

Proof. Given an  $f(n)$ -space NTM  $M$ , its config. graph has size at most  $C^{\log n + f(n)} \leq C^{2f(n)}$  and we can apply the deterministic  $\log^2 n$ -space algorithm to it, and simulate  $M$  deterministically (i.e. try all possibilities) in space bounded by  $(\log C^{2f(n)})^2 \leq k f^2(n)$  for some  $k$  depending on  $C$ .  $\square$

(8)

Def. A function  $F$  from strings to strings is said to be computed by an NTM  $M$  if for every input  $x$ :

- (1) at least one computation halts with output  $F(x)$ .
- (2) if a computation does not halt with output  $F(x)$ , then it halts with output "no".

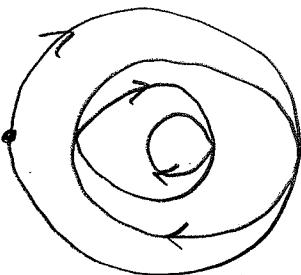
We say that it operates within space  $f(n)$  if, the length of any string, except the input and output strings, never exceeds  $f(|x|)$  on input  $x$ .

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## Thm 7.6 (Immerman-Szelepcénhyi)

Given a graph  $G$  and a vertex  $x$ , the number of vertices that are reachable from  $x$  (in  $G$ ) can be computed by an NTM within space  $\log n$ .

The proof is quite down-to-earth but a bit technical, involving 4 nested loops :



Notation:  $\text{coNSPACE} \stackrel{\text{def}}{=}$

$$\{L : \bar{L} \in \text{NSPACE}\}.$$

Corollary If  $f(n) \geq \log n$  is a proper complexity function, then

$$\text{NSPACE}(f(n)) = \text{coNSPACE}(f(n)).$$

Proof idea: If an NTM  $M$  decides  $L$  in space  $f(n)$ , then consider the configuration graph of  $M$  which has size  $\leq c^{\log n + f(n)} \leq c^{2f(n)}$ .

Using Thm d. 6, the reachability problem can be solved, nondeterministically, in space  $\log(c^{2f(n)}) \leq k f(n)$  for appropriate  $k$ . But the same is true for the "non-reachability" problem, since space  $k f(n)$  is sufficient for checking whether input  $x$  can lead to "yes".  $\square$

# Reductions

Def. 8.1 Language  $L_1$  is reducible to language  $L_2$  if there is a function  $R$  from strings to strings such that  $R$  is computable by a TM in space  $O(\log n)$  and for all  $x$ ,

$$x \in L_1 \iff R(x) \in L_2.$$

We call such  $R$  a reduction from  $L_1$  to  $L_2$ .

Prop 8.1 : If  $R$  is a reduction computed by a TM  $M$ , then for some  $k$ ,  $M$  halts after at most  $|x|^k$  steps, for every input  $x$ .

Proof idea :  $M$  has at most  $O(|x|^c \log |x|) = O(|x|^c \cdot \log |x|) = O(|x|^d \log |x|) = O(|x| \cdot |x|^d)$  configurations on input  $x$  and a config. is never repeated in the same computation.  $\square$

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Prop 8.2: If  $R_1$  is a reduction from  $L_1$  to  $L_2$  and  $R_2$  is a reduction from  $L_2$  to  $L_3$ , then  $R_2 \circ R_1$  is a reduction from  $L_1$  to  $L_3$ .

The proof is not as trivial as one may think, because  $|R_1(x)|$  may be greater than  $\log|x|$ . Therefore one needs to do a careful analysis of how to transmit information about  $R_1(x)$  to  $R_2$  without violating the space bound  $\log|x|$ .

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## Completeness

Def. 8.2: Let  $\mathcal{C}$  be a complexity class. A language  $L \in \mathcal{C}$  is said to be  $\mathcal{C}$ -complete if every  $L' \in \mathcal{C}$  is reducible to  $L$ .

Def.  $\mathcal{C}$  is closed under reductions if whenever  $L$  is reducible to  $L' \in \mathcal{C}$  then  $L \in \mathcal{C}$ .

Prop 8.3: P, NP, coNP, L, NL, PSPACE and EXP are closed under reductions.

Proof: Exercise.  $\square$

Prop 8.4: If  $\mathcal{C}$  and  $\mathcal{C}'$  are closed under reductions and  $L \in \mathcal{C} \cap \mathcal{C}'$  is  $\mathcal{C}$ -complete and  $\mathcal{C}'$ -complete, then  $\mathcal{C} = \mathcal{C}'$ .

## Propositional logic

We can think that  $1 = \text{true}$ ,  $0 = \text{false}$ .

A function  $f: \{0,1\}^n \rightarrow \{0,1\}$  is called Boolean.

Fact: For every Boolean function  $f(x_1, \dots, x_n)$  there is a propositional formula  $\varphi_f$ , containing only the connectives  $\neg, \wedge, \vee$  and with propositional variables  $p_1, \dots, p_n$ , such that for every truth assignment  $(s_1, \dots, s_n) \in \{0,1\}^n$ ,

$$f(s_1, \dots, s_n) = 1 \iff$$

$\varphi_f$  is true when  $p_i$  is assigned the value  $s_i$  for  $i = 1, \dots, n$ .

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Thus every function

$$g : \{0,1\}^n \rightarrow \{0,1\}^m$$

can be represented by a conjunction

$$\varphi_{f_1} \wedge \dots \wedge \varphi_{f_m}, \text{ where}$$

$\varphi_{f_i}$  represents the Boolean function

$f_i(x_1, \dots, x_n) = \text{the value of the } i\text{th coordinate of } g(x_1, \dots, x_n).$

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## Cook's theorem

SAT is the problem of deciding whether a propositional formula is satisfiable.

$\text{SAT} \in \text{NP}$ , because we can guess a truth assignment for a prop. formula  $\varphi$  and check in polynomial time if it makes  $\varphi$  true.

I now try to give the idea of how every  $L \in \text{NP}$  can be reduced to SAT (so SAT is NP-complete).

First, we note that for every  $L \in \text{NP}$  there is a <sup>(turing)</sup>ATM  $M$  deciding  $L$  in time  $n^k$  such that at every step  $M$  has exactly two nondeterministic choices.

Let's assume that  $M$  is such.

Then every possible computation is coded by a binary sequence of choices  $\bar{c} = (c_1, c_2, \dots, c_s)$ , where  $s < |x|^k$  and  $x$  is the input.

With every  $x$  and  $\bar{c}$  we associate a computation table  $T(M, x, \bar{c})$  where row  $i$  corresponds to the configuration after  $i$  steps, using the choice seq.  $\bar{c}$ , and the entry  $T_{ij}$  encodes, as a binary string,

- the  $j$ th symbol on the string (from the left)
- and if  $M$  scans position  $j$  at time  $i$ , then  $T_{ij}$  also encodes the current state.

Moreover  $T_{ij}$  only depends on

$T_{i-1,j-1}$ ,  $T_{i-1,j}$  and  $T_{i-1,j+1}$

(the adjacent positions at the previous step).

As said,  $T_{ij}$  encodes the information as a binary string, of length  $m$ , say, where  $m$  depends only on  $M$  (its number of states and symbols).

Hence, all possible transitions, with the choice sequence  $\bar{c}$  are represented as

$(T_{i-1,j-1}, T_{i-1,j}, T_{i-1,j+1}, c_i) \mapsto T_{ij}$  and

correspond to a function

$$g_{\bar{c}}: \{0, 1\}^{3m+1} \rightarrow \{0, 1\}^m.$$

Then there is a prop. formula

$$\varphi_{g_{\bar{c}}} = \varphi_{f_1} \wedge \dots \wedge \varphi_{f_m} \text{ where each}$$

$\varphi_{f_i}$  is as in the previous section  
(so  $f_i$  is the restriction of  $g_{\bar{c}}$  to the  $i$ th coordinate).

We may assume that if the first bit in  $T_{ix^k, 2}$  is 1 then  $M$  accepts  $x$ , and otherwise  $M$  does not accept  $x$ .  
(If  $M$  halts after  $l < |x|^k$  steps then rows  $l+1, \dots, ix^k$  are identical.)

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Also we may assume that  $M$  has the feature that the input config. is  $\triangleright \triangleright x$  where the right-most ' $\triangleright$ ' is scanned and that  $M$  never moves the cursor to the leftmost ' $\triangleright$ '.

Let's call the reduction from  $L$  to SAT which we want to construct  $R$ .

Inductively, for  $l = n^k, n^{k-1}, \dots, 1$ , where  $n = |x|$ , we define :

- $R_{n^k}^{\bar{c}}(x) = \varphi_{\bar{f}}$ . Let us assume that the propositional variables of  $\varphi_{\bar{g}_{\bar{c}}}$  are  $x_1, \dots, x_{3m+1}$
- $R_{l-1}^{\bar{c}}(x)$  is the formula obtained from  $R_l^{\bar{c}}(x)$  by substituting, for  $i = 1, \dots, m$ ,  $\varphi_{f_i}$  for all occurrences of  $x_i, x_{2i}$  and  $x_{3i}$ .
- Let  $R(x) = R_1^{\bar{c}}(x)$ .

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And finally,  $R(x)$  is the disjunction of all  $R^{\bar{c}}(x)$  where  $\bar{c}$  ranges over all choice sequences of length  $\leq |x|^k$  which end with  $M$  halting.

It remains to verify that  $R$  can be computed in space  $\log n$  and (by induction) that  $x \in L \Leftrightarrow R(x) \in \text{SAT}$  = the set of propositional formulas that are satisfiable.  $\square$