

**Prop 18.1.** For any function  $f : \mathbb{Z}^+ \rightarrow \mathbb{C}$  and any real numbers  $N, U, V \geq 2$  with  $N \geq UV$ , we have (with an absolute implied constant)

$$\left| \sum_{n \leq N} f(n) \Lambda(n) \right| \ll \sum_{n \leq U} |f(n)| \Lambda(n) + (\log UV) \sum_{t \leq UV} \left| \sum_{r \leq N/t} f(rt) \right| \\ + (\log N) \sum_{d \leq V} \max_{1 \leq w \leq N/d} \left| \sum_{1 \leq h \leq w} f(dh) \right| + N^{\frac{1}{2}} (\log N)^3 \max_{U \leq M \leq N/V} \Delta(f, M, N, V),$$

where  $\Delta(f, M, N, V)$  denotes any non-negative real number satisfying

$$\left| \sum_{M < m \leq 2M} b_m \sum_{V < k \leq N/m} c_k f(mk) \right| \leq \Delta(f, M, N, V) \left( \sum_{M < m \leq 2M} |b_m|^2 \right)^{\frac{1}{2}} \left( \sum_{k \leq N/M} |c_k|^2 \right)^{\frac{1}{2}}$$

for all complex numbers  $b_m, c_k$ .

*Outline of proof:* Set  $F(s) = \sum_{m \leq U} \Lambda(m)m^{-s}$ ,  $G(s) = \sum_{d \leq V} \mu(d)d^{-s}$ . Note

$$-\frac{\zeta'(s)}{\zeta(s)} = F(s) - \zeta(s)F(s)G(s) - \zeta'(s)G(s) + \left(-\frac{\zeta'(s)}{\zeta(s)} - F(s)\right) \cdot (1 - \zeta(s)G(s)).$$

$$\implies \Lambda(n) = a_1(n) + a_2(n) + a_3(n) + a_4(n) \quad \forall n \in \mathbb{Z}^+,$$

where

$$a_1(n) = \begin{cases} \Lambda(n) & \text{if } n \leq U \\ 0 & \text{if } n > U; \end{cases}$$

$$a_2(n) = - \sum_{\substack{mdr=n \\ m \leq U \\ d \leq V}} \Lambda(m)\mu(d);$$

$$a_3(n) = \sum_{\substack{hd=n \\ d \leq V}} \mu(d) \log h;$$

$$a_4(n) = - \sum_{\substack{mk=n \\ m > U \\ k > 1}} \Lambda(m) \left( \sum_{\substack{d|k \\ d \leq V}} \mu(d) \right).$$

Hence

$$\sum_{n \leq N} f(n)\Lambda(n) = S_1 + S_2 + S_3 + S_4,$$

where

$$S_i = \sum_{n \leq N} f(n)a_i(n).$$

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Here

$$S_1 = \sum_{n \leq U} f(n)\Lambda(n);$$

thus

$$|S_1| \leq \sum_{n \leq U} |f(n)|\Lambda(n).$$

Next

$$S_2 = - \sum_{n \leq N} \sum_{\substack{m d r = n \\ m \leq U \\ d \leq V}} f(n) \Lambda(m) \mu(d)$$

$$\dots = - \sum_{t \leq UV} \left( \sum_{\substack{m d = t \\ m \leq U \\ d \leq V}} \Lambda(m) \mu(d) \right) \sum_{r \leq N/t} f(rt).$$

Gives

$$|S_2| \leq (\log UV) \sum_{t \leq UV} \left| \sum_{r \leq N/t} f(rt) \right|.$$

Next

$$S_3 = \sum_{n \leq N} f(n) \sum_{\substack{hd=n \\ d \leq V}} \mu(d) \log h$$
$$\dots = \sum_{d \leq V} \mu(d) \sum_{h \leq N/d} f(hd) \log h.$$

Integration by parts

$$\implies |S_3| \ll (\log N) \sum_{d \leq V} \max_{1 \leq w \leq N/d} \left| \sum_{1 \leq h \leq w} f(dh) \right|.$$

Finally,

$$S_4 = - \sum_{n \leq N} f(n) \sum_{\substack{mk=n \\ m > U \\ k > 1}} \Lambda(m) \left( \sum_{\substack{d|k \\ d \leq V}} \mu(d) \right)$$

$$\dots = - \sum_{U < m \leq N/V} \Lambda(m) \sum_{V < k \leq N/m} \left( \sum_{\substack{d|k \\ d \leq V}} \mu(d) \right) f(mk).$$

DYADIC DECOMPOSITION in the  $m$ -variable:

$$= \sum_{\substack{M \in \{2^0 U, 2^1 U, 2^2 U, \dots\} \\ M < N/V}} \left\{ \sum_{M < m \leq \min(N/V, 2M)} \Lambda(m) \sum_{V < k \leq N/m} \left( \sum_{\substack{d|k \\ d \leq V}} \mu(d) \right) f(mk) \right\}$$

$$\implies$$

$$|S_4| \leq \sum_{\substack{M \in \{2^0 U, 2^1 U, 2^2 U, \dots\} \\ M < N/V}} \left\{ \Delta(f, M, N, V) \left( \sum_{M < m \leq 2M} \Lambda(m)^2 \right)^{\frac{1}{2}} \left( \sum_{k \leq N/M} \left( \sum_{\substack{d|k \\ d \leq V}} \mu(d) \right)^2 \right)^{\frac{1}{2}} \right\}$$

MISPRINT in eq. (579), p. 249;  $M \rightarrow 2M$

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$$\ll N^{\frac{1}{2}} (\log N)^3 \max_{U \leq M \leq N/V} \Delta(f, M, N, V).$$



**Example:**  $S(\alpha) = \sum_{n \leq N} \Lambda(n) e(n\alpha)$

**Prop 18.3.** If  $\alpha \in \mathbb{R}$  and

$$\left| \alpha - \frac{a}{q} \right| \leq \frac{1}{q^2} \quad (q \in \mathbb{Z}^+, a \in \mathbb{Z}, (a, q) = 1), \quad (*)$$

then

$$|S(\alpha)| \ll (Nq^{-\frac{1}{2}} + N^{\frac{4}{5}} + N^{\frac{1}{2}}q^{\frac{1}{2}})(\log N)^4,$$

where the implied constant is absolute.

Remark 18.5:

Prop 18.3 Gives a *power saving* versus the trivial bound  $|S(\alpha)| \ll N$  if we can find a rational approximation  $\frac{a}{q}$  to  $\alpha$  satisfying (\*) and  $N^\varepsilon \leq q \leq N^{1-\varepsilon}$ .

(Here  $\varepsilon > 0$  is some fixed, small constant.)

**Example:**  $S(\alpha) = \sum_{n \leq N} \Lambda(n) e(n\alpha)$

To better appreciate Prop 18.3, we recall

**Lemma 18.4 = Dirichlet's Theorem on Diophantine approximation.**

For every  $\alpha \in \mathbb{R}$  and every real  $Q \geq 1$ , there is a rational number  $\frac{a}{q}$  such that

$$\left| \alpha - \frac{a}{q} \right| \leq \frac{1}{qQ}; \quad 1 \leq q \leq Q; \quad (a, q) = 1.$$

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Also, Remark 18.6 \* (External reading).

An irrational number  $\alpha \in \mathbb{R}$  is said to be of *diophantine type*  $\kappa$  ( $\kappa \geq 2$ ) if there is  $C > 0$  such that

$$\left| \alpha - \frac{a}{q} \right| > \frac{C}{q^\kappa}, \quad \text{for all } a \in \mathbb{Z}, q \in \mathbb{Z}^+.$$

For such  $\alpha$ , one can prove  $|S(\alpha)| \ll N^\tau$  as  $N \rightarrow \infty$ , where  $\tau$  is any fixed number with

$$\tau > \max\left(\frac{4}{5}, \frac{2\kappa - 1}{2\kappa}\right).$$

*Outline of proof of Prop 18.3:*

Assume  $N \geq 10$ .

By Prop 18.2, for any  $U, V \geq 2$  with  $UV \leq N$ :

$$|S(\alpha)| = \left| \sum_{n \leq N} \Lambda(n) e(n\alpha) \right| \ll U + (\log N) \sum_{t \leq UV} \max_w \left| \sum_{w \leq r \leq N/t} e(rt\alpha) \right|$$

$$+ N^{\frac{1}{2}} (\log N)^3 \max_{U \leq M \leq N/V} \max_{V \leq j \leq N/M} \left( \sum_{V < k \leq N/M} \left| \sum_{\substack{M < m \leq 2M \\ m \leq N/k \\ m \leq N/j}} e(m(j-k)\alpha) \right| \right)^{\frac{1}{2}}.$$

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Note general bound (for any  $\beta \in \mathbb{R}$  and any integers  $N_1 < N_2$ ):

$$\left| \sum_{n=N_1}^{N_2} e(n\beta) \right| = \left| \frac{e((N_2+1)\beta) - e(N_1\beta)}{e(\beta) - 1} \right| \ll \min\left(N_2 - N_1, \frac{1}{\|\beta\|}\right),$$

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Hence

$$(\log N) \sum_{t \leq UV} \max_w \left| \sum_{w \leq r \leq N/t} e(rt\alpha) \right| \ll (\log N) \underbrace{\sum_{t \leq UV} \min\left(\frac{N}{t}, \frac{1}{\|t\alpha\|}\right)}_{\text{NEED TO BOUND!}}$$

**Lemma 18.5.** If  $\alpha \in \mathbb{R}$  and

$$\left| \alpha - \frac{a}{q} \right| \leq \frac{1}{q^2} \quad (q \in \mathbb{Z}^+, a \in \mathbb{Z}, (a, q) = 1),$$

then for any  $N, T \geq 1$  we have

$$\sum_{t \leq T} \min\left(\frac{N}{t}, \frac{1}{\|t\alpha\|}\right) \ll \left(\frac{N}{q} + T + q\right) \log(2qT).$$

*Proof of Lemma 18.5:*

Substitute  $t = hq + r$ :

$$\sum_{t \leq T} \min\left(\frac{N}{t}, \frac{1}{\|t\alpha\|}\right) \leq \sum_{0 \leq h \leq T/q} \sum_{r=1}^q \min\left(\frac{N}{hq+r}, \frac{1}{\|(hq+r)\alpha\|}\right).$$



*Proof of Lemma 18.5:*

Set  $\beta = \alpha - \frac{a}{q}$ ; thus  $|\beta| \leq q^{-2}$ !

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$$= \|ra/q + hq\beta + r\beta\|$$

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Contribution for terms with  $h = 0, 1 \leq r \leq \frac{1}{2}q$ :

$$\Rightarrow |r\beta| \leq \frac{1}{2q}$$

$$\ll \sum_{1 \leq r \leq q/2} \frac{1}{\left\| \frac{ra}{q} \right\| - \frac{1}{2q}} \leq \sum_{\substack{m \in (\mathbb{Z}/q\mathbb{Z}) \\ m \neq 0 \pmod{q}}} \frac{1}{\left\| \frac{m}{q} \right\| - \frac{1}{2q}} \leq 2 \sum_{1 \leq m \leq q/2} \frac{1}{\frac{m}{q} - \frac{1}{2q}} \ll q \sum_{n=1}^{q-1} \frac{1}{n} \ll q \log(2q).$$

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Remaining part:

$$\ll \sum_{0 \leq h \leq T/q} \sum_{r=1}^q \min\left(\frac{N}{(h+1)q}, \frac{1}{\|ra/q + hq\beta + r\beta\|}\right).$$

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For fixed  $h$ :  $ra/q + hq\beta + r\beta$  “well spread” mod 1 as  $r = 1, 2, \dots, q$ !

Hence

$$\ll \sum_{0 \leq h \leq T/q} \left( \frac{N}{(h+1)q} + \sum_{j=1}^{\lceil q/2 \rceil} \frac{q}{j} \right) \ll \frac{N}{q} \log(2T) + \left( \frac{T}{q} + 1 \right) q \log(2q).$$

□

**Theorem 19.2.**

For any fixed  $A > 0$  we have

$$r(N) = \frac{1}{2} \mathfrak{S}(N) N^2 + O(N^2 (\log N)^{-A}), \quad (1)$$

for all integers  $N \geq 2$ , where the implied constant only depends on  $A$ , and where

$$\mathfrak{S}(N) = \left( \prod_{p|N} \left( 1 - \frac{1}{(p-1)^2} \right) \right) \left( \prod_{p \nmid N} \left( 1 + \frac{1}{(p-1)^3} \right) \right). \quad (2)$$

“Easy consequence”:

**Theorem 19.3.**

There exist some positive constants  $X$  and  $c$  such that every odd integer  $N > X$  can be expressed as a sum of three odd primes in  $> cN^2(\log N)^{-3}$  ways.

Proof: Let  $P$ =the set of prime numbers. Then:

$$\sum_{\substack{p_1, p_2, p_3 \\ p_1 + p_2 + p_3 = n}} (\log p_1)(\log p_2)(\log p_3) = r(n) - \underbrace{\sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n \\ \{k_1, k_2, k_3\} \not\subset P}} \Lambda(k_1)\Lambda(k_2)\Lambda(k_3)}_{(*) \text{ NEED TO BOUND!}}$$

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Here

$$(*) \leq 3 \sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n \\ k_1 \notin P}} \Lambda(k_1)\Lambda(k_2)\Lambda(k_3) = \left\{ \text{Subst. } k_1 = p^r \right\}.$$

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$$\sum_{\substack{p_1, p_2, p_3 \\ p_1 + p_2 + p_3 = n}} (\log p_1)(\log p_2)(\log p_3) = r(n) - \underbrace{\sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n \\ \{k_1, k_2, k_3\} \notin P}} \Lambda(k_1)\Lambda(k_2)\Lambda(k_3)}_{(*) \text{ NEED TO BOUND!}}.$$

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$$\begin{aligned} (*) &\leq 3 \sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n \\ k_1 \notin P}} \Lambda(k_1)\Lambda(k_2)\Lambda(k_3) = 3 \sum_{2 \leq r \leq \log_2 n} \sum_{p \leq n^{1/r}} (\log p) \sum_{\substack{k_2, k_3 \\ k_2 + k_3 = n - p^r}} \Lambda(k_2)\Lambda(k_3), \\ &\leq 3n(\log n)^2 \sum_{2 \leq r \leq \log_2 n} \sum_{p \leq n^{1/r}} (\log p) \end{aligned}$$

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$$\sum_{\substack{p_1, p_2, p_3 \\ p_1 + p_2 + p_3 = n}} (\log p_1)(\log p_2)(\log p_3) = r(n) - \underbrace{\sum_{\substack{k_1, k_2, k_3 \\ k_1 + k_2 + k_3 = n \\ \{k_1, k_2, k_3\} \notin P}} \Lambda(k_1)\Lambda(k_2)\Lambda(k_3)}_{(*) \text{ NEED TO BOUND!}}.$$

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$$\implies \sum_{\substack{p_1, p_2, p_3 \\ p_1 + p_2 + p_3 = n}} (\log p_1)(\log p_2)(\log p_3) \gg n^2 \quad \text{for } n \text{ sufficiently large \& odd.}$$

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$$\implies \sum_{\substack{p_1, p_2, p_3 \\ p_1 + p_2 + p_3 = n}} 1 \gg n^2(\log n)^{-3}.$$

QED! (The number of cases with some of  $p_1, p_2, p_3$  even are  $\leq 3$ .)

□

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### Theorem 19.3.

There exist some positive constants  $X$  and  $c$  such that every odd integer  $N > X$  can be expressed as a sum of three odd primes in  $> cN^2(\log N)^{-3}$  ways.

In fact, Problem 19.2 MISPRINT in problem: “ $n$ ”  $\rightarrow$  “ $N$ ”.

If  $t(N)$  is the number of ways to write  $N$  as a sum of three odd primes, i.e.

$$t(N) = \#\{\langle p_1, p_2, p_3 \rangle : p_1 + p_2 + p_3 = N\},$$

then

$$t(N) \sim \frac{\mathfrak{S}(N)N^2}{2(\log N)^3} \quad \text{as } N \rightarrow \infty.$$

(Both sides = 0 when  $N$  even.)

*Proof of Theorem 19.2.*

Recall

$$r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha; \quad S(\alpha) = \sum_{k \leq N} \Lambda(k) e(k\alpha).$$

Split the range of integration,  $[0, 1]$ , into subintervals!



*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Recall

$$r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha; \quad S(\alpha) = \sum_{k \leq N} \Lambda(k) e(k\alpha).$$

Split the range of integration,  $[0, 1]$ , into subintervals!

Keep  $N$  large!

For  $1 \leq q \leq P$ ,  $1 \leq a \leq q$ ,  $(a, q) = 1$ :  $\mathfrak{M}(q, a) := \left[ \frac{a}{q} - \frac{1}{Q}, \frac{a}{q} + \frac{1}{Q} \right]$ .

— The *major arcs*.

Disjoint, since

$$\frac{a}{q} \neq \frac{a'}{q'} \implies \left| \frac{a}{q} - \frac{a'}{q'} \right| \geq \frac{1}{qq'} \geq \frac{1}{P^2} > \frac{2}{Q}.$$

Complement: The *minor arcs*.

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

For  $\alpha \in \mathfrak{M}(q, a)$ :  $S(\alpha) = ???$

Write  $\alpha = \frac{a}{q} + \beta$ ;  $|\beta| \leq Q^{-1}$ ;

$$S(\alpha) = \sum_{k \leq N} \Lambda(k) e\left(\frac{ka}{q}\right) e(k\beta).$$

$k \mapsto e\left(\frac{ka}{q}\right)$  is periodic modulo  $q$ ! Express as lin comb of  $\chi$ 's! ( $\chi \in X_q$ )

*Proof of Theorem 19.2.*

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$k \mapsto e\left(\frac{ka}{q}\right)$  is periodic modulo  $q$ ! Express as lin comb of  $\chi$ 's! ( $\chi \in X_q$ )

Only works for  $(k, q) = 1$ ; get

$$\begin{aligned} \left\{ \begin{array}{ll} e(ka/q) & \text{if } (k, q) = 1 \\ 0 & \text{if } (k, q) > 1 \end{array} \right\} &= \sum_{m \in (\mathbb{Z}/q\mathbb{Z})^\times} e\left(\frac{ma}{q}\right) \left\{ \begin{array}{ll} 1 & \text{if } m \equiv k \pmod{q} \\ 0 & \text{if } m \not\equiv k \pmod{q} \end{array} \right\} \\ &= \frac{1}{\phi(q)} \sum_{m \in (\mathbb{Z}/q\mathbb{Z})^\times} e\left(\frac{ma}{q}\right) \sum_{\chi \in X_q} \overline{\chi(m)} \chi(k) = \frac{1}{\phi(q)} \sum_{\chi \in X_q} \left( \sum_{m \in (\mathbb{Z}/q\mathbb{Z})^\times} e\left(\frac{ma}{q}\right) \overline{\chi(m)} \right) \chi(k) \\ &= \frac{1}{\phi(q)} \sum_{\chi \in X_q} \tau(\overline{\chi}) \chi(a) \chi(k) \end{aligned}$$

*Proof of Theorem 19.2.*

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For  $\alpha \in \mathfrak{M}(q, a)$ :  $S(\alpha) = ???$

Write  $\alpha = \frac{a}{q} + \beta$ ;  $|\beta| \leq Q^{-1}$ ; *HENCE:*

$$\begin{aligned} S(\alpha) &= \sum_{k \leq N} \Lambda(k) e\left(\frac{ka}{q}\right) e(k\beta) \\ &= \frac{1}{\phi(q)} \sum_{\chi \in X_q} \tau(\bar{\chi}) \chi(a) \sum_{k \leq N} \Lambda(k) \chi(k) e(k\beta) + O\left(\left| \sum_{\substack{k \leq N \\ (k,q) > 1}} \Lambda(k) e\left(\frac{ka}{q}\right) e(k\beta) \right|\right) \\ &= \frac{1}{\phi(q)} \sum_{\chi \in X_q} \tau(\bar{\chi}) \chi(a) \int_1^N e(x\beta) d\psi(x, \chi) + O\left(\sum_{p|q} \sum_{r \leq \log_p N} \Lambda(p^r)\right) \\ &= \frac{1}{\phi(q)} \sum_{\chi \in X_q} \tau(\bar{\chi}) \chi(a) \left( e(N\beta) \psi(N, \chi) - 2\pi i \beta \int_1^N e(x\beta) \psi(x, \chi) dx \right) + O\left(\log^2 N\right). \end{aligned}$$

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

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By Theorem 16.5:

$$\psi(x, \chi) = O\left(x e^{-c_1 \sqrt{\log x}}\right), \quad \forall \chi \in X_q \setminus \{\chi_0\}, \underbrace{x \geq \exp\left(q^{\frac{1}{2B}}\right)}_{\Leftrightarrow (\log x)^{2B} \geq q}.$$

$c_1 > 0$  abs const (effective).

Impl const depends only on  $B$  but is *noneffective*!

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Combined with easy bounds:

$$\implies \psi(x, \chi) = O\left(N e^{-c_1 \sqrt{\log N}}\right), \quad \forall \chi \in X_q \setminus \{\chi_0\}, x \in [1, N].$$

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Hence:

$$(*) = O\left((1 + |\beta|N) N e^{-c_1 \sqrt{\log N}}\right), \quad \forall \chi \in X_q \setminus \{\chi_0\}.$$

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

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For  $\chi = \chi_0 \in X_q$  *principal*, write:

$$\psi(x, \chi_0) = [x] + R(x).$$



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$$\psi(x, \chi_0) = [x] + R(x).$$

For all  $x \in [1, N]$ :

$$\psi(x, \chi_0) = \psi(x) - \sum_{p|q} \sum_{r \leq \log_p x} \Lambda(p^r) = \psi(x) - O(\log^2 N).$$

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

For  $\alpha \in \mathfrak{M}(q, a)$ :  $S(\alpha) = ???$  Write  $\alpha = \frac{a}{q} + \beta$ ;  $|\beta| \leq Q^{-1}$ ;

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For  $\chi = \chi_0 \in X_q$  principal, write:

$$\psi(x, \chi_0) = [x] + R(x).$$

$$R(x) = O\left(N e^{-c_2 \sqrt{\log N}}\right), \quad \forall x \in [1, N]$$

For all  $x \in [1, N]$ :

$$\psi(x, \chi_0) = \psi(x) - \sum_{p|q} \sum_{r \leq \log_p x} \Lambda(p^r) = \psi(x) - O(\log^2 N).$$

Hence by Theorem 13.8, for all  $x \in [1, N]$ :

$$R(x) = \left( \psi(x) - [x] \right) - O(\log^2 N) = O\left(x e^{-c_2 \sqrt{\log x}}\right) + O(\log^2 N) = O\left(N e^{-c_2 \sqrt{\log N}}\right).$$

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

For  $\alpha \in \mathfrak{M}(q, a)$ :  $S(\alpha) = ???$  Write  $\alpha = \frac{a}{q} + \beta$ ;  $|\beta| \leq Q^{-1}$ ;

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Hence:

$$\begin{aligned} (*) &= \left( e(N\beta) [N] - 2\pi i \beta \int_1^N e(x\beta) [x] dx \right) \\ &\quad + \left( e(N\beta) R(N) - 2\pi i \beta \int_1^N e(x\beta) R(x) dx \right) \\ &= \int_{1-}^N e(x\beta) d[x] + O\left((1 + |\beta|N) N e^{-c_2 \sqrt{\log N}}\right). \end{aligned}$$

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$$(*) = \underbrace{\int_{1-}^N e(x\beta) d[x]}_{\text{Call } =: T(\beta)} + O\left((1 + |\beta|N) N e^{-c_2 \sqrt{\log N}}\right).$$

$$T(\beta) := \int_{1-}^N e(x\beta) d[x] = \sum_{k=1}^N e(k\beta).$$

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Also  $\tau(\chi_0) = \mu(q)$  and  $|\tau(\chi)| \leq \sqrt{q}$  for any  $\chi \in X_q$  (cf. Problem 9.2).

$$\implies S(\alpha) = \frac{\mu(q)}{\phi(q)} T(\beta) + O\left(q^{\frac{1}{2}} (1 + |\beta|N) N e^{-c_3 \sqrt{\log N}}\right).$$

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

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For  $\chi = \chi_0 \in X_q$  principal:

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$$\implies S(\alpha) = \frac{\mu(q)}{\phi(q)} T(\beta) + \underbrace{O\left(q^{\frac{1}{2}}(1 + |\beta|N) N e^{-c_3 \sqrt{\log N}}\right)}_{46 = O\left(N e^{-c_4 \sqrt{\log N}}\right)}.$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0), 1 \leq q \leq P$$

For all  $\alpha = \frac{a}{q} + \beta \in \mathfrak{M}(q, a)$ :

$$S(\alpha) = \frac{\mu(q)}{\phi(q)} T(\beta) + O\left(N e^{-c_4 \sqrt{\log N}}\right); \quad T(\beta) = \sum_{k=1}^N e(k\beta).$$

Intuitively nice!

$$S(\alpha) = \sum_{n \leq N} \Lambda(n) e(\alpha n) = \sum_{n \leq N} \Lambda(n) e\left(n \frac{a}{q}\right) e(n\beta)$$

$$\approx \frac{q}{\phi(q)} \sum_{\substack{k \leq N \\ (k, q)=1}} e\left(k \frac{a}{q}\right) e(k\beta).$$

(would give same main term).

*Proof of Theorem 19.2.*

$$P = (\log N)^B, \quad Q = N(\log N)^{-B}, \quad (B > 0), \quad 1 \leq q \leq P$$

For all  $\alpha = \frac{a}{q} + \beta \in \mathfrak{M}(q, a)$ :

$$S(\alpha) = \frac{\mu(q)}{\phi(q)} T(\beta) + O\left(N e^{-c_4 \sqrt{\log N}}\right); \quad T(\beta) = \sum_{k=1}^N e(k\beta).$$

Using also  $\left| \frac{\mu(q)}{\phi(q)} T(\beta) \right| \leq |T(\beta)| \leq N$ :

$$S(\alpha)^3 = \frac{\mu(q)}{\phi(q)^3} T(\beta)^3 + O\left(N^3 e^{-c_4 \sqrt{\log N}}\right).$$

Hence

$$\begin{aligned} & \left[ \text{Contribution from } \alpha \in \mathfrak{M}(q, a) \text{ in } r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha \right] \\ &= \frac{\mu(q)}{\phi(q)^3} e\left(-\frac{aN}{q}\right) \int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta + O\left(N^2 e^{-c_5 \sqrt{\log N}}\right). \end{aligned}$$



*Proof of Theorem 19.2.*

$$P = (\log N)^B, \quad Q = N(\log N)^{-B}, \quad (B > 0), \quad 1 \leq q \leq P$$

$$\left[ \text{Contribution from } \alpha \in \mathfrak{M}(q, a) \text{ in } r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha \right] \\ = \frac{\mu(q)}{\phi(q)^3} e\left(-\frac{aN}{q}\right) \int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta + O\left(N^2 e^{-c_5 \sqrt{\log N}}\right).$$

Now add over all  $\mathfrak{M}(q, a)$ !

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right),$$

where

$$c_q(N) = \sum_{\substack{a=1 \\ (a,q)=1}}^q e\left(\frac{an}{q}\right)$$

(Ramanujan's sum, which we introduced in Problem 9.3).

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \underbrace{\int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta}_{\text{}} + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right).$$

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Recall

$$T(\beta) = \sum_{k=1}^N e(k\beta) \quad \text{— only depends on } \beta \text{ mod } 1.$$

$$T(\beta) = \frac{e((N+1)\beta) - e(\beta)}{e(\beta) - 1} = O\left(\min(N, \|\beta\|^{-1})\right).$$

Hence

$$\int_{1/Q}^{1-1/Q} |T(\beta)|^3 d\beta = O\left(\int_{1/Q}^{1/2} \beta^{-3} d\beta\right) = O(Q^2) = O(N^2 (\log N)^{-2B}),$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, \quad Q = N(\log N)^{-B}, \quad (B > 0)$$

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \underbrace{\int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta}_{(*)} + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right).$$

Recall

$$T(\beta) = \sum_{k=1}^N e(k\beta) \quad \text{— only depends on } \beta \text{ mod } 1.$$

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$$\int_{1/Q}^{1-1/Q} |T(\beta)|^3 d\beta = O\left(\int_{1/Q}^{1/2} \beta^{-3} d\beta\right) = O(Q^2) = O(N^2 (\log N)^{-2B}),$$

so that

$$(*) = \int_0^1 T(\beta)^3 e(-N\beta) d\beta + O(N^2 (\log N)^{-2B}).$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \underbrace{\int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta}_{(*)} + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right).$$

$$(*) = \int_0^1 T(\beta)^3 e(-N\beta) d\beta + O(N^2 (\log N)^{-2B}).$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

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$$(*) = \underbrace{\int_0^1 T(\beta)^3 e(-N\beta) d\beta}_{(**)} + O\left(N^2 (\log N)^{-2B}\right).$$

$$(**) = \int_0^1 \left( \sum_{1 \leq k_1, k_2, k_3 \leq N} e((k_1 + k_2 + k_3)\beta) \right) e(-N\beta) d\beta$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \underbrace{\int_{-1/Q}^{1/Q} T(\beta)^3 e(-N\beta) d\beta}_{(*)} + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right).$$

$$(*) = \underbrace{\int_0^1 T(\beta)^3 e(-N\beta) d\beta}_{(**)} + O\left(N^2 (\log N)^{-2B}\right).$$

$$\begin{aligned} (**) &= \int_0^1 \left( \sum_{1 \leq k_1, k_2, k_3 \leq N} e((k_1 + k_2 + k_3)\beta) \right) e(-N\beta) d\beta \\ &= \sum_{\substack{1 \leq k_1, k_2, k_3 \leq N \\ k_1 + k_2 + k_3 = N}} 1, \\ &= \frac{1}{2}(N-1)(N-2) = \frac{1}{2}N^2 + O(N). \end{aligned}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\begin{aligned} & \int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha \\ &= \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \left( \frac{1}{2} N^2 + O(N^2 (\log N)^{-2B}) \right) + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right) \end{aligned}$$



*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\begin{aligned} & \int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha \\ &= \underbrace{\sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \left( \frac{1}{2} N^2 + O(N^2 (\log N)^{-2B}) \right)}_{(*)} + O\left(N^2 e^{-c_6 \sqrt{\log N}}\right) \end{aligned}$$

Using  $|c_q(N)| \leq \phi(q)$  and  $\phi(q) \gg q/\log q$  for all  $q \geq 2$  (cf. Problem 15.1).

$$\sum_{q \leq P} \frac{|c_q(N)|}{\phi(q)^3} \leq \sum_{q=1}^{\infty} \frac{1}{\phi(q)^2} = O(1).$$

Thus:

$$(*) = \frac{1}{2} N^2 \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) + O\left(N^2 (\log N)^{-2B}\right).$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} N^2 \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) + O\left(N^2 (\log N)^{-2B}\right).$$

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Again using  $|c_q(N)| \leq \phi(q)$  and  $\phi(q) \gg q/\log q$ :

$$\left| \sum_{q > P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \right| \leq \sum_{q > P} \frac{1}{\phi(q)^2} \ll_{\varepsilon} \sum_{q > P} q^{-2+2\varepsilon}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} N^2 \sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N) + O\left(N^2 (\log N)^{-2B}\right).$$

Again using  $|c_q(N)| \leq \phi(q)$  and  $\phi(q) \gg q/\log q$ :

$$\begin{aligned} \left| \sum_{q > P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \right| &\leq \sum_{q > P} \frac{1}{\phi(q)^2} \ll_{\varepsilon} \sum_{q > P} q^{-2+2\varepsilon} \leq \int_{P-1}^{\infty} x^{-2+2\varepsilon} dx \\ &\ll_{\varepsilon} (P-1)^{-1+2\varepsilon} \ll P^{-1+2\varepsilon} = (\log N)^{-B+2\varepsilon B}, \end{aligned}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, \quad Q = N(\log N)^{-B}, \quad (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} N^2 \underbrace{\sum_{q \leq P} \frac{\mu(q)}{\phi(q)^3} c_q(N)}_{(*)} + O\left(N^2 (\log N)^{-2B}\right).$$

Again using  $|c_q(N)| \leq \phi(q)$  and  $\phi(q) \gg q/\log q$ :

$$\begin{aligned} \left| \sum_{q > P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \right| &\leq \sum_{q > P} \frac{1}{\phi(q)^2} \ll_{\varepsilon} \sum_{q > P} q^{-2+2\varepsilon} \leq \int_{P-1}^{\infty} x^{-2+2\varepsilon} dx \\ &\ll_{\varepsilon} (P-1)^{-1+2\varepsilon} \ll P^{-1+2\varepsilon} = (\log N)^{-B+2\varepsilon B}, \end{aligned}$$

and thus if we choose  $\varepsilon = \frac{1}{2B}$  we have

$$\left| \sum_{q > P} \frac{\mu(q)}{\phi(q)^3} c_q(N) \right| \ll (\log N)^{-B+1}$$

Hence

$$(*) = \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)^3} c_q(N) + O((\log N)^{-B+1}).$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} N^2 \sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)^3} c_q(N) + O\left(N^2 (\log N)^{-B+1}\right).$$

Can factor!

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} N^2 \underbrace{\sum_{q=1}^{\infty} \frac{\mu(q)}{\phi(q)^3} c_q(N)}_{(*)} + O\left(N^2 (\log N)^{-B+1}\right).$$

Can factor!

Recall from Problem 9.3:

$$c_q(N) = \frac{\phi(q)}{\phi\left(\frac{q}{(q,N)}\right)} \mu\left(\frac{q}{(q,N)}\right) \quad - \text{multiplicative w.r.t. } q.$$

Hence, by Prop. 2.7, since  $\mu(p^\alpha) = 0, \forall \alpha \geq 2$ :

$$\begin{aligned} (*) &= \prod_p \left(1 + \frac{\mu(p)}{\phi(p)^3} c_p(N)\right) = \prod_p \left(1 - \frac{c_p(N)}{(p-1)^3}\right) \\ &= \prod_{p|N} \left(1 - \frac{1}{(p-1)^2}\right) \prod_{p \nmid N} \left(1 + \frac{1}{(p-1)^3}\right) = \mathfrak{S}(N), \end{aligned}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} \mathfrak{S}(N) N^2 + O\left(N^2 (\log N)^{-B+1}\right).$$



*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Hence

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} \mathfrak{S}(N) N^2 + O\left(N^2 (\log N)^{-B+1}\right).$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

Note

$$\begin{aligned} \left| \int_{\mathfrak{m}} S(\alpha)^3 e(-N\alpha) d\alpha \right| &\leq \int_{\mathfrak{m}} |S(\alpha)|^3 d\alpha \leq \left( \sup_{\alpha \in \mathfrak{m}} |S(\alpha)| \right) \int_{\mathfrak{m}} |S(\alpha)|^2 d\alpha \\ &\leq \left( \sup_{\alpha \in \mathfrak{m}} |S(\alpha)| \right) \int_0^1 |S(\alpha)|^2 d\alpha \end{aligned}$$

and here the last integral is

$$\begin{aligned} \int_0^1 |S(\alpha)|^2 d\alpha &= \int_0^1 \sum_{k_1 \leq N} \sum_{k_2 \leq N} \Lambda(k_1) \Lambda(k_2) e((k_1 - k_2)\alpha) d\alpha \\ &= \sum_{k_1 \leq N} \Lambda(k_1) \sum_{k_2 \leq N} \Lambda(k_2) \int_0^1 e((k_1 - k_2)\alpha) d\alpha \\ &= \sum_{k \leq N} \Lambda(k)^2 \leq (\log N) \sum_{k \leq N} \Lambda(k) \ll N \log N. \end{aligned}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

Hence

$$\left| \int_{\mathfrak{m}} S(\alpha)^3 e(-N\alpha) d\alpha \right| \ll N(\log N) \left( \sup_{\alpha \in \mathfrak{m}} |S(\alpha)| \right).$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, \quad Q = N(\log N)^{-B}, \quad (B > 0)$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

Hence

$$\left| \int_{\mathfrak{m}} S(\alpha)^3 e(-N\alpha) d\alpha \right| \ll N(\log N) \left( \sup_{\alpha \in \mathfrak{m}} |S(\alpha)| \right).$$

For every  $\alpha \in \mathfrak{m}$ , by Dirichlet's theorem (Lemma 18.4):

$$\exists q, a \in \mathbb{Z} : \quad 1 \leq q \leq Q, \quad (a, q) = 1, \quad \left| \alpha - \frac{a}{q} \right| \leq \frac{1}{qQ}.$$

$$\alpha \notin \mathfrak{M}(a, q) \implies P < q \leq Q.$$

Hence by Proposition 18.3 (for all  $\alpha \in \mathfrak{m}$ ):

$$\begin{aligned} |S(\alpha)| &\ll (Nq^{-\frac{1}{2}} + N^{\frac{4}{5}} + N^{\frac{1}{2}}q^{\frac{1}{2}})(\log N)^4 \ll (NP^{-\frac{1}{2}} + N^{\frac{4}{5}} + N^{\frac{1}{2}}Q^{\frac{1}{2}})(\log N)^4 \\ &\ll N(\log N)^{-(B/2)+4}. \end{aligned}$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

Hence

$$\left| \int_{\mathfrak{m}} S(\alpha)^3 e(-N\alpha) d\alpha \right| \ll N^2 (\log N)^{-(B/2)+5}.$$

*Proof of Theorem 19.2.*

$$P = (\log N)^B, Q = N(\log N)^{-B}, (B > 0)$$

Finally: Bound the contribution from the **minor arcs**,  $\mathfrak{m} = [0, 1] \setminus \mathfrak{M}$ .

Hence

$$\left| \int_{\mathfrak{m}} S(\alpha)^3 e(-N\alpha) d\alpha \right| \ll N^2 (\log N)^{-(B/2)+5}.$$

Take  $B = 2A + 10$ .

Also use

$$\int_{\mathfrak{M}} S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} \mathfrak{S}(N) N^2 + O\left(N^2 (\log N)^{-B+1}\right).$$

Hence

$$r(N) = \int_0^1 S(\alpha)^3 e(-N\alpha) d\alpha = \frac{1}{2} \mathfrak{S}(N) N^2 + O\left(N^2 (\log N)^{-A}\right).$$

□ □ □